## Features and Constraints

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#### **Search Strategies**

A\* Backtracking Local Search

#### Features

Domain e.g.  $dom(x_1) = \{a, b, c\}$  $x_1 \leftarrow a$ 

#### Boolean Satisfiability (Example)

Variables A, B, ..., GDomains dom $(A) = \{$ true, false $\}$ Constraints  $(\neg A \lor \neg B) \land (\neg B \lor \neg C \lor D)$ 

#### **Constraint Terminology and Notation**

Intentional Constraint Description Formula to be satisfied Extensional Constraint Description A list of valid tuples

#### Tuples

t = (1,4) with variables  $x_1, x_2$  $t[x_1] = 1$  $t[x_2] = 4$ 

#### Vars

C is a constraint vars(C) is variables in constraint C

#### Example: n-Queens

4-Queens as a constraint satisfaction problem (CSP) Variables Each grid location,  $x_{ij}$ , i = 1, ..., 4, j = 1, ..., 4Domains  $dom(x_{ij}) = \{0,1\}$  $x_{ij} \leftarrow 1$ : There is a queen on (i,j)Constraints

$$\forall i \sum_{\substack{j=1\\j=1}}^{4} x_{ij} = 1$$
$$\forall j \sum_{\substack{i=1\\j=1}}^{4} x_{ij} = 1$$

And each diagonal  $\sum x_{ij} \le 1$ 

#### Alternate Formulation

Variables  $x_i, i = 1, ... 4$  (one for each column) Domains dom $(x_i) = \{1, 2, 3, 4\}$  (row positions)  $x_i \leftarrow j$  There is a queen in column *i*, row *j* Constraints  $\forall i \forall j \ x_i \neq x_i \land |x_i - x_i| \neq |i - j|$ 

#### Example: Crossword Puzzle

Variables  $x_1, ..., x_{23}$ Domains  $dom(x_i) = \{'a', 'b', 'c', ..., 'd'\}$ Constraints Consecutive grid locations form words in dictionary. All words used exactly once. Non Binary

#### **Alternate Formulation**

Variables 1Across, 1Down, 2Down, ... Domains dom(1Across) = {All 5 letter words} Constraints (Binary) 1Across and 1Down agree on assignment of the first letter. ... Same for all pairs of intersecting rows/columns.

### Alldifferent constraint - 4 Queens Example

Variables  $x_1, x_2, x_3, x_4$  (each column) Constraints alldifferent $(x_1, x_2, x_3, x_4)$ 

...

# **Constraint Propagation**

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## Example

Variables x, y, zDomains  $\{1,2,3\}$ Constraints  $c_1$ : x < y $c_2$ : y < z

Check Arc Consistency and Remove Inconsistent Values

 $x \text{ and } c_1$   $dom(x) = \{1,2,3\} \Rightarrow \{1,2\}$   $y \text{ and } c_1$   $dom(y) = \{1,2,3\} \Rightarrow \{2,3\}$   $y \text{ and } c_2$   $dom(y) = \{2,3\} \Rightarrow \{2\}$   $z \text{ and } c_2$   $dom(z) = \{1,2,3\} \Rightarrow \{3\}$   $x \text{ and } c_1$  $dom(x) = \{1,2\} \Rightarrow \{1\}$ 

n variables m values for each k constraints per variable  $\frac{nk}{2}$  constraints total

> Constraints always satisfied. Number of constraint checks:

Naïve backtracking *nk* checks MAC

$$\frac{nk}{2}m + nkm$$

## Comparison of naïve backtracking vs MAC

*n* variables. Each variable has domain size *m* There is a single binary constraint for each pair of variables.  $\frac{n(n-1)}{2}$  constraints total.

Each constraint is satisfied with probability *p* 

## What is the branching factor of naïve backtracking?

Consider a node with *l* free variables. This node is satisfiable if there is any assignment of the *l* variables that satisfy all  $C = \frac{l(l-1)}{2} + l(k-l)$  constraints. An assignment is satisfactory with probability  $p^{C}$ None of the  $m^{l}$  assignments are satisfactory with probability  $(1 - p^{C})^{m^{l}}$ So a node at height *l* is satisfiable with probability  $q(l) = 1 - (1 - p^{C})^{m^{l}}$ 

Given that a node is satisfiable with probability q(l), what is the expected branching factor?

Probability that a node at height *l* has branching fractor *b* is  $P(B_l = b) = (1 - q(l))^{b-1} \times q(l)$ 

This is a geometric distribution with expected value

$$E(B_l) = \frac{1}{q(l)}$$

What is the expected number of nodes in the search tree

starting at height l?  $N_l$  is the expected number of nodes.  $B_l$  is the random variable giving the branching factor of nodes at neight l. Recurrence:

$$N_l = \sum_{b=0}^{m} P(B_l = b) N_{l-1}$$

# Local Search

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# Example: 4-Queens

## Alternative 1

All constraints into cost function +1 for each constraint that isn't satisfied Set of all states: CSP with no constraints. Set of all solutions: set of all 4-tuples over the set {1, 2, 3, 4}

Neighbourhood function: Swap pairs of values? Solution isn't necessarily reachable. e.g. from (1, 1, 1, 1)

## Alternative 2

Cost function: +1 for each of  $|x_i - x_j| \neq |i - j|$  that is not satisfied Set of all states must satisfy  $x_i \neq x_j \forall i, j$ 

More specifically, all different( $x_1, x_2, x_3, x_4$ ) Set of all states: : all permutations of 1, 2, 3, 4. Neighbourhood function: Swap pairs of values.

# Local Search for TSP

Nodes: Permutations of Cities Cost function: cost of tour Neighbourhood function: 2-opt: delete two edges from tour to break tour into two pieces and then reconnect

## **Starting Tour**

- Greedy Algorithm
  - Pick lowest cost one next
- Alternative: randomly pick a starting node, run greedy algorithm
- Alternative: pick randomly from lowest few in greedy.

## **Satisfiability**

Set of states: all possible assignments of true or false to Boolean variables. Cost function: +1 for each unsatisfied constraint Neighbourhood function: Change/flip k variables

# **Example:** Partition

Set of all states:  $x_i: i = 1, ..., \#$  of objects  $dom(x_i) = \{0, 1\}$ All possible assignments where  $x_i = 0$  means  $x_i$  is in U  $x_i = 1$  means  $x_i$  is in VCost function : difference in weights of U and Ve.g.  $u = \{a, b, c, d\}, v = \{e, f, g, h\}, |32 - 58| = 26$ Neighbourhood function Poor: Swap two: pick an object from U and one from V and swap them Better: Dick on object from U and one from V and swap them

Pick an object and move it to the other set.

# Set Covering

Cost function: size/cost of cover setting penalize for uncovered rows

# **Genetic Algorithms**

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# **Example Representations: 4-queens**

## What are the $x_i$ ?

- 1. Permutation representation e.g. (2, 1, 4, 3)
- 2. Extended pair representation

For each pair of queens, which one comes before the other  $\frac{x_{12}}{0}, \frac{x_{13}}{0}, \frac{x_{14}}{1}, \frac{x_{23}}{1}, \frac{x_{24}}{0}, \frac{x_{34}}{1}$  $x_{ij} = 1 \text{ if } x_i < x_j \text{ and } 0 \text{ otherwise}$ 

Solution: 101001

- 3. Possible row positions encoded in binary
  - $x_1 \ x_2 \ x_3 \ x_4$
  - $\overline{00}$ ,  $\overline{00}$ ,  $\overline{00}$ ,  $\overline{00}$
  - 01 01 01 01
  - 10 10 10 10 11 11 11 11

## **Fitness Function**

# of constraints satisfied

## **Genetic Operations**

Mutation (Unary) Flip a bit(s) with some small probability

# **Crossover** (Binary)

Given  $a = (a_1, ..., a_m)$  and  $b = (b_1, ..., b_m)$ 

child =  $(c_1, \dots, c_m)$ 

 $c_i$  = choose between  $a_i$  or  $b_i$  (not a good description)

# Logic & Inference

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# **Holmes Scenario**

### Variables

- *w* watson calls
- g gibbon calls
- a alarm
- b buglaring

## Knowledge Base

 $w \Rightarrow a$ ,  $g \Rightarrow a$ ,  $a \Rightarrow b$ But these are not categorically true. Logic insufficeint Query b? Is there are burglary in progress)

# Probability

June 3, 2014 2:41 PM

# **Axioms of Probability**

- 1. All probabilities are between 0 and 1  $0 \le P(a) \le 1$
- 2. Necessarily true propositions have probability 1 Necessarily false propositions have probability 0 P(true) = 1, P(false) = 0
- 3. The probability of a conjunction is given by,  $P(A \land B) = P(A) + P(B) - P(A \lor B)$

## Example - Slides: Holmes Example

$$\begin{split} P(B) &= p_1 + p_3 + p_5 + p_7 \\ P(W \land B) &= p_5 + p_7 \\ P(W \lor B) &= p_1 + p_3 + p_4 + p_5 + p_6 + p_7) \\ P(W \lor \neg W) &= 1 \\ P(B|W) &= \frac{P(B \land W)}{P(W)} = \frac{p_5 + p_7}{p_4 + p_5 + p_6 + p_7} \\ P(\neg B|W \land A) &= \frac{P(\neg B \land W \land A)}{P(W \land A)} = \frac{p_6}{p_6 + p_7} \end{split}$$

## **Examples of Probabilistic Reasoning**

Example: (B)urglary and (A)larm Suppose the alarm in 95% of cases is accurate. i.e. if there is a burglary, the alarm goes. In 97% of cases when not burglary, the alarm does not go We get

False positive  $P(A|B) = 0.95, \quad P(A|\neg B) = 0.03$   $P(\neg A|B) = 0.05, \quad P(\neg A|\neg B) = 0.97$ Probability of burglary  $P(B) = 0.0001, P(\neg B) = 0.9999$ 

```
Suppose alarm goes. What is the probability of a burglary.

P(B|A) = \frac{P(B \land A)}{P(A)} = \frac{P(A|B)P(B)}{P(A|B)P(B) + P(A|\neg B)P(\neg B)} = \frac{0.95 \times 0.0001}{0.95 \times 0.0001 + 0.03 \times 0.9999}
= \frac{0.000095}{0.030092} = 0.00316
```

# **Belief Network**

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Edges represent dependencies between variables. What do the numbers mean? Frequentist approach / statistics - objective Bayesian / subjectivist approach - degrees of belief

Exact algorithms for finding a particular joint probability given a belief network: Variable elimination Cache intermediate results Factor as much as possible Exact query answering: #P-Complete (worse than NP-Complete)

Approximate Algorithms

### Example

$$P(B = \text{false}, G = \text{true}, W = \text{true})$$

$$= \sum_{e \in \text{dom}(E)} \sum_{a \in \text{dom}(A)} \sum_{r \in \text{dom}(R)} P(B = \text{false}) P(G = \text{true}|A = a) P(W = \text{true}|A = a) P(A = a|B = \text{false}, E = e) P(E = e) P(E = e) P(R = r|E = e)$$

$$= P(B = \text{false}) \left[ \sum_{a} \sum_{e} \sum_{r} P(G = \text{true}|A = a) P(W = \text{true}|A = a) P(A = a|B = \text{false}, E = e) P(E = e) P(E = e) P(E = e) \right]$$

$$= P(B = \text{false}) \left[ \sum_{a} \sum_{e} P(G = \text{true}|A = a) P(W = \text{true}|A = a) P(A = a|B = \text{false}, E = e) P(E = e) \left( \underbrace{\sum_{r} P(R = r|E = e)}_{1} \right) \right]$$

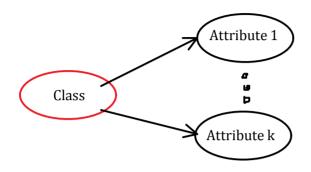
$$= P(B = \text{false}) \left[ \sum_{a} P(G = \text{true}|A = a) P(W = \text{true}|A = a) \left[ \sum_{e} P(A = a|B = \text{false}, E = e) P(E = e) \right] \right]$$

# Supervised Learning

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## Naïve Bayes

Querying a Naïve Bayes Network



Let domain of class variable be  $\{c_1, ..., c_k\}$ Given values for the attributes Attribute  $1 = a_1$ 

iAttribute k =  $a_k$ 

### To predict class

 $\operatorname{argmax}_{c_{i}} P(\operatorname{class} = c_{1} | \operatorname{evidence}) = \operatorname{argmax}_{c_{i}} P(\operatorname{class} = c_{i} | \operatorname{Attribute} 1 = a_{1}, \dots, \operatorname{Attribute} k = a_{k})$   $= \operatorname{argmax}_{c_{i}} P(\operatorname{class} = c_{i} | a_{1}, \dots, a_{k}) = \operatorname{argmax}_{c_{i}} \frac{P(\operatorname{class} = c_{i} \land a_{1}, \dots, a_{k})}{P(a_{1}, \dots, a_{k})}$   $= \operatorname{argmax}_{c_{i}} \frac{P(\operatorname{class} = c_{i}) \prod_{j=1}^{k} P(a_{j} | \operatorname{class} = a_{j})}{P(a_{1}, \dots, a_{k})}$   $= \operatorname{argmax}_{c_{i}} P(\operatorname{class} = c_{i}) \prod_{j=1}^{k} P(a_{j} | \operatorname{class} = a_{j})$ 

## Learning arcs and probabilities

Each attribute/features becomes a node in the network. Steps

1. For each attribute and each possible set of parents, calculate a score

 $a_i, \quad a_1 \to a_i, \dots, a_k \to a_i, \dots, \quad (a_1, a_2) \to a_i, \dots, (a_{k-1}, a_k) \to a_i, \dots$ Many possible scores. Scores capture goodness of fit and penalty term for complexity. Two popular scores: BIC & BDeu

BIC = Bayesian Information Criterion

Bdeu = Bayesian Dirichlet (likelihood equivalence) (uniform joint distribution) 2. For each attribute/class variable pair pick a parent set such that

- a. there are no cycles, and
- b. the sum of scores is minimized

Pruning rule: Two parent sets p, p' for some attribute

 $p \subset p'$  and  $cost(p) \le cost(p')$  then prune (remove) p'

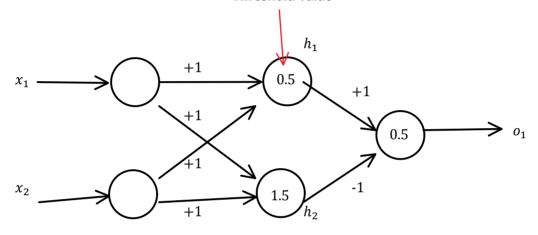
# Neural Networks

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## Example: XOR Function

Input	Output
$x_1 x_2$	<i>y</i> <sub>1</sub>
0 0	0
01	1
10	1
11	0

Threshold value



Step function 
$$f(x) = \begin{cases} 0 & \text{if } x \le 0 \\ 1 & \text{if } x > 0 \end{cases}$$
  
 $h_1 = f(x_1 + x_2 - 0.5)$   
 $h_2 = f(x_1 + x_2 - 1.5)$   
 $o_1 = f(h_1 - h_2 - 0.5)$   
 $x_1 & x_2 & h_1 & h_2 & o_1$   
 $0 & 0 & 0 & 0$   
 $0 & 1 & 1 & 0 & 1$   
 $1 & 0 & 1 & 0 & 1$   
 $1 & 0 & 1 & 0 & 1$   
 $1 & 1 & 1 & 0$ 

# Backpropagation Learning Algorithm

 $\begin{array}{cccc} x_1 - h_1 & - o_1 \\ \mathrm{X} & \mathrm{X} \\ x_2 - h_2 & - o_2 \\ \vdots \\ \mathrm{X} & \mathrm{X} \\ x_A - h_B - o_C \end{array}$ 

Each hidden and output unit uses signal function  $f(x) = \frac{1}{1+e^{-kx}}$ Output is a value between 0 and 1

Handling Thresholds

$$h_j = f\left(\left(\sum_{i=1}^A w \mathbf{1}_{ij} \cdot x_i\right) - \beta_j\right), \qquad j = 1, \dots, B$$
  
Rewrite as,

 $h_j = f\left(\sum_{i=0}^{A} w \mathbf{1}_{ij} \cdot x_i\right), \qquad j = 1, \dots, B$ where  $x_i = -1$  and  $w \mathbf{1}_{ij}$  is the threshold

where  $x_0 = -1$  and  $w 1_{0j}$  is the threshold for  $h_j$ 

Same for output layer:

$$o_k = f\left(\sum_{j=0}^{B} w 2_{jk} \cdot h_j\right), \qquad k = 1, \dots, C$$
  
where  $h_0 = -1$ 

### **Error Term**

error 
$$=\frac{1}{2}\sum_{k=1}^{C}(y_k - o_k)^2$$

## Algorithm

1. Initialize weights & thresholds to small random values

$$w1_{ij} = random(-0.5, 0.5), \quad i = 1, ..., A, \quad j = 1, ..., B$$
  
 $w2_{jk} = random(-0.5, 0.5), \quad j = 1, ..., B, \quad k = 1, ..., C$   
 $r_{ij} = -1$  These power change

- $x_0 = -1, h_0 = -1$  These never change 2. Choose an input-output pair ffrom the training set. Call it  $\bar{x}, \bar{y}$ where  $\bar{x} = (x_1, ..., x_A), \ \bar{y} = (y_1, ..., y_C)$
- Assign activation levels of  $x_1, ..., x_A$  (input units)
- 3. Determine activation levels of hidden units.

$$h_j = f\left(\sum_{i=0}^A w \mathbf{1}_{ij} \cdot x_i\right), \qquad j = 1, \dots, B$$

4. Determine activation levels of output units

$$o_k = f\left(\sum_{j=0}^B w 2_{jk} \cdot h_j\right), \qquad k = 1, \dots, C$$

- 5. Determine how to adjust weights between hidden and output layer for this example.  $E2_{j} = \underbrace{k}_{\text{from sigmoid}} \cdot o_{j}(1 - o_{j})(y_{j} - o_{j}), \quad j = 1, ..., C$ Sigmoid:  $f(x) = \frac{1}{1 + e^{-kX}}$
- 6. Determine how to adjust weights between input and hidden layer for this example.

$$E1_j = k \cdot h_j \cdot (1 - h_j) \sum_{i=1}^{\circ} E2_i \cdot w2_{ji}, \quad j = 1, ..., B$$

- 7. Adjust weights between hidden and output layer.  $w2_{ij} = w2_{ij} + \text{LearningRate} \cdot \text{E}2_j \cdot h_i, \quad i = 0, ..., B, j = 1, ..., C$
- 8. Adjust weights between input and hidden layer  $w1_{ij} = w1_{ij} = \text{LearningRate} \cdot \text{E1}_j \cdot x_i, \quad i = 0, ..., A, j = 1, ..., B$
- 9. Repeat steps 2-8 until done.

### Parameters

Learning rate: range: 0.05 to 0.35 Sigmoid constant *k* 

$$f(x) = \frac{1}{1 + e^{-kx}}, \qquad k \ge 0$$
  
Number of hidden units

## Stopping Criteria

Maximum number of epochs. epoch = once through training set. Error is acceptably small

- discrete/classification error
- total number of bit-errors
- continuous error  $\sum (y_j o_j)^2$