

Correction for “Pebbles and Branching Programs for Tree Evaluation”, by Stephen Cook, Pierre McKenzie, Dustin Wehr, Mark Braverman, and Rahul Santhanam, ACM ToCT (3,2), 2012.

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Theorem 5.15 in the above paper is correct, but the proof needs a small correction. The statement of the theorem gives a tight lower bound on the number of states in a nondeterministic thrifty branching program solving the tree evaluation problem for binary trees of height 4. Precisely

Theorem 1 *Every nondeterministic thrifty branching program solving $BT_2^4(k)$ has $\Omega(k^3)$ states.*

The proof considers YES inputs I in a certain set $E^{r,s}$ of inputs to a nondeterministic thrifty branching program B , and associates a thrifty accepting computation $\mathcal{C}(I)$ with each such I . The proof also associates a tuple

$$U(I) = (u, \gamma^I, \delta^I, x_1, x_2, x_3, x_4)$$

with I , where γ^I and δ^I are states in the computation $\mathcal{C}(I)$. We use (γ^I, δ^I) to denote the segment of $\mathcal{C}(I)$ between γ^I and δ^I .

The tag $u \in \{1, 2, 3\}$ in $U(I)$ specifies a partition of the middle nodes $\{v_2, v_3, v_4, v_5, v_6, v_7\}$ of the input tree into disjoint sets S_1 and S_2 with the following properties:

- Every middle node queried during the computation segment (γ^I, δ^I) is in S_1 .
- S_1 has at most four nodes, and the values of all nodes in S_1 are specified by x_1, x_2, x_3, x_4 in $U(I)$.
- The parent of every node in S_2 is queried during (γ^I, δ^I) .

Near the end of the proof is the following claim:

Claim: If $I, J \in E^{r,s}$ and $U(I) = U(J)$, then $I = J$.

The claim is correct, but the proof of the claim is wrong, since it states that if $U(I) = U(J)$ then input I is consistent with the segment (γ^J, δ^J) of the computation $\mathcal{C}(J)$. (A thrifty query for J might be nonthrifty for I , so the two answers could be different.)

To fix the proof, define a new input I' as follows: For each non-leaf node v_i , let $f_i^{I'}(x, y) = f_i^I(x, y)$ if x, y are the correct values for the children of node v_i in input I , and otherwise let $f_i^{I'}(x, y) = f_i^J(x, y)$. Let the values of the leaf nodes of I' be r or s , as in $E^{r,s}$.

Thus the node values for I and I' are the same, but some of the functions associated with I and I' are different. This input I' may not be in the set $E^{r,s}$, but this does not matter, because we assume that B is a nondeterministic thrifty branching program which runs correctly on all inputs.

The state sequence for the computation $\mathcal{C}(I)$ is also a possible state sequence for B on input I' , because $\mathcal{C}(I)$ only makes thrifty queries. We construct a different accepting computation \mathcal{C}' for the input I' as follows: \mathcal{C}' coincides with $\mathcal{C}(I)$ until γ^I , then follows $\mathcal{C}(J)$ from γ^I to δ^I ,

and finally follows $\mathcal{C}(I)$ to the accept state. This is possible, because every query made by $\mathcal{C}(J)$ during the segment (γ^J, δ^J) is either thrifty for I as well as for J , (so the answer is specified by (x_1, x_2, x_3, x_4) in $U(I) = U(J)$, and is the same for all three inputs I, I', J), or it is not thrifty for I , so by construction of I' the answer is the same for inputs I' and J .

Suppose $I \neq J$. Given that both I and J are in $E^{r,s}$ and $U(I) = U(J)$, it follows that I and J (and hence I' and J) differ on the value of some middle node v in the set S_2 specified by the tag u . Thus by the stated property of S_2 , the computation segment (γ^J, δ^J) queries the parent of v , and this query cannot be thrifty for both J and I' . But all accepting computations of a thrifty branching program must make only thrifty queries.

This proves the claim.

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