

**Question #1: Scheduling unit jobs with penalties and deadlines**


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You are given  $n$  jobs the  $i$ th of which has deadline  $d_i$  and penalty  $p_i$ . Each job takes precisely one hour to finish and can not be interrupted once started. All deadlines are integers and  $d_i \geq 1$ . If you finish job  $i$  before its deadline that is great if you can't you will have to pay  $p_i$  no matter if/when you finish it. Also, all the deadlines are less than or equal to  $n$  (but you can assume that without loss of generality anyway, otherwise you can always complete that job because there will be sometime before  $t = n$  when you are idle.)

**Example:** If you have  $n = 4$  with  $p_1 = 5, d_1 = 2, p_2 = 5, d_2 = 2, p_3 = 100, d_3 = 1, p_4 = 10, d_4 = 4$ , then one possible optimal solution is  $p_3 p_2 p_4$  with penalty 5.

**Solution:** Think about the time line as 0 to  $n$  and being divided to  $n$  one hour intervals. Originally the time line is empty, i.e. we haven't scheduled anything. Sort jobs according to decreasing penalty, then for each job if there is some time before its deadline when it could be done do it at the latest such time otherwise we will not do that job.

**Run time:**  $\Theta(n^2)$  if we do the search for the last possible time trivially.

**Proof of optimality:** Define

$P(i) \equiv$  There is an optimal schedule that makes the same choices as the greedy algorithm up to and including job  $i$ .

Prove  $P(i)$  by induction.  $P(0)$  is trivial: It just says there is an optimal solution.

Assume that  $P(i - 1)$  holds; we will show  $P(i)$  follows. Assume that  $O_{i-1}$  is the optimal solution that agrees with all the choices that greedy makes up to but not including on job  $i$ . This exists by the induction hypothesis  $P(i - 1)$ .

There are two cases:

**Case 1:** If the choice greedy made was to not schedule job  $i$  it must be that just by making the decisions it made on the first  $i - 1$  jobs there is no place to schedule it before its deadline. But  $O_{i-1}$  agrees with the first  $i - 1$  choices of greedy so it can't finish job  $i - 1$  either and must pay its penalty. If  $O_{i-1}$  does schedule job  $i$  we just remove it from the schedule and call that  $O_i$  otherwise we just define  $O_i = O_{i-1}$ . In both cases  $O_i$  has the same penalty as  $O_{i-1}$  and is consistent with greedy's choices up to and including on the  $i$ th job so  $P(i)$  is proved and we are done.

**Case 2:** If the choice greedy made was to schedule job  $i$  at time  $[j - 1, j]$ . Then we have 3 cases depending on what the optimal solution  $O_{i-1}$  did with job  $i$ :

**Case 2.1:**  $O_{i-1}$  did not schedule job  $i$  or scheduled it after its deadline. In this case  $O_i$  will be exactly like  $O_{i-1}$  except that it schedules job  $i$  at time  $[j - 1, j]$  and if  $O_{i-1}$  scheduled some other job (call it  $i'$ ) at that interval  $O_i$  will not schedule that job. Notice that  $i'$  is a job that  $O_{i-1}$  is scheduling differently than the greedy algorithm so by induction hypothesis  $i' \geq i$ .

In this case penalty of  $O_i$  is either penalty of  $O_{i-1}$  minus  $p_i$  or penalty of  $O_{i-1}$  minus  $p_i$  plus  $p_{i'}$ . Given that  $i' \geq i$  it must be that  $p_{i'} \leq p_i$  so the penalty of  $O_i$  is less than or equal to penalty of  $O_{i-1}$  so  $O_i$  is also an optimal solution and given that it agrees with the greedy algorithm

**Case 2.2:**  $O_{i-1}$  scheduled job  $i$  before its deadline. Notice that because  $O_{i-1}$  agrees with greedy on the first  $i-1$  jobs and by the way the greedy algorithm chooses the time  $j$  to schedule job  $i$  it must be that  $O_{i-1}$  has scheduled job  $j$  no later than the slot  $[j-1, j]$ . If  $O_{i-1}$  has scheduled job  $i$  in this interval we choose  $O_i = O_{i-1}$  and are done. Otherwise, let's say that  $O_{i-1}$  has scheduled job  $i$  in the earlier slot  $[j'-1, j']$ . Let the job  $O_{i-1}$  schedules in the interval  $[j-1, j]$  to be job  $i'$  (if it exists). We set  $O_i$  to be exactly like  $O_{i-1}$  except that we move job  $i$  to interval  $[j-1, j]$  and job  $i'$  (if it exists) to earlier interval  $[j'-1, j']$ . Notice that the penalty of  $O_i$  is exactly the same as penalty of  $O_{i-1}$  because job  $i'$  has been moved earlier in the schedule so it can't contribute to the penalty of  $O_i$  unless it also contributes to the penalty of  $O_{i-1}$  and job  $i$  is move to some place before its deadline so it does not contribute to the penalty of  $O_{i-1}$ . So  $O_i$  must also be optimal and it agrees with the greedy algorithm on all  $i$  jobs so we have completed the proof.

**If you had extra time:** If you had extra time at the end talk about how you can implement this using disjoint sets in time  $\Theta(n \log n)$ . To do this one thinks of all the  $n$  possible time slots and makes a disjoint sets data structure on top of them. The idea is that if  $i$  and  $j$  are in the same set then the latest available time slot before  $t = i$  and  $t = j$  are the same. There will also be an array `latest_available[0..n]` with `latest_available[get_set(i)]` being the latest available time slot before  $i$  that is available. This way whenever the time slot  $[i-1, i]$  gets filled we do a `join_set(i-1, i)` because now the latest available time slot before  $i-1$  and  $i$  are the same and so is the latest available time slot before any place which was in the same set as  $i$  or  $i-1$ . And then we update the `latest_available[get_set(i)]` to the old value of `latest_available[get_set(i-1)]`. Overall:

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**Input:** Integer  $n$ , penalties  $p_1, \dots, p_n$  and deadlines  $d_1, \dots, d_n$ .

**Output:** Schedule with minimum penalty.

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1 int latest_available[0..n]
2 The 0th item is a dummy and will be 0 for ‘‘nothing available before that time’’
3 for i ← 0 to n do
4   latest_available[i] ← i
5   make_set(i)
6 end
7 Sort(Jobs according to decreasing p_i’s)
8 for i ← 1 to n do
9   j ← latest_available[get_set(d_i)]
10  if j ≠ 0 then
11    schedule job i at time interval [j-1, j]
12    old_available ← latest_available[get_set(j-1)]
13    join_set(j-1, j)
14    latest_available[get_set(j)] ← old_available
15  end
16 end
```

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