

# Homework remarking requests

- BEFORE submitting a remarking request:
  - a) read and **understand our solution set** (which is posted on the course web site)
  - b) read the **marking guide** of the homework (also posted on the course web page)
  - c) read our **marking policy** (also posted in in the course web page)
- Note: remarking requests of the type  
*“yes it is wrong but I think that marking guide is too strict and too many points were deducted for this”*  
are seldom if ever accepted

# Homework remarking requests

- If after doing (a), (b), and (c), you still want to submit a request:
  - fill the required form with a clear explanation
  - staple it to your homework copy and give it to one of us (ideally directly to Sam, everything goes to him after all)

Disjoint sets

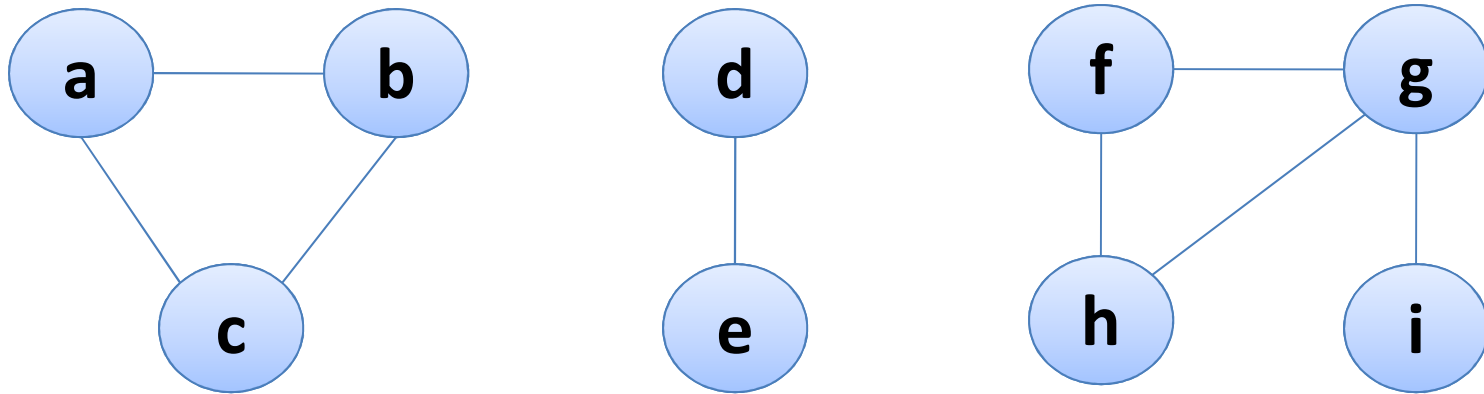
# Disjoint set ADT

- Maintains a collection  $\mathcal{S} = \{S_1, \dots, S_k\}$  of disjoint sets
- Each set is identified by a representative, which is an element of the set
- **Operations:**
  - MAKE-SET( $x$ ): creates a new set containing only  $x$ , and makes  $x$  the representative
  - FIND-SET( $x$ ): returns the representative of  $x$ 's set
  - UNION( $x, y$ ): merges the sets containing  $x$  and  $y$ , and chooses a new representative
- Note: No duplicate elements are allowed!

# Disjoint set application

- **Example:** Determine whether two nodes are in the same connected component of an undirected graph
- **Connected component:** a maximal subgraph such that any two vertices are connected to each other by a path

# Disjoint sets for connected components



- How do you use disjoint sets to solve this problem?

# Disjoint sets for connected components

## **Connected-Components(G):**

for each vertex  $v \in V[G]$  do

    MAKE-SET( $v$ )

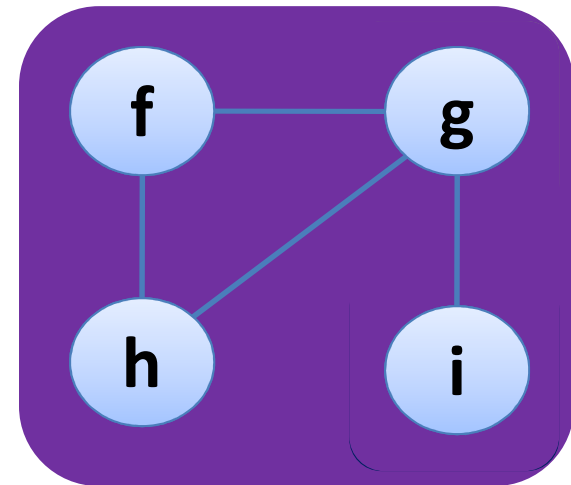
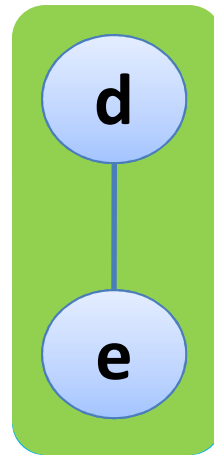
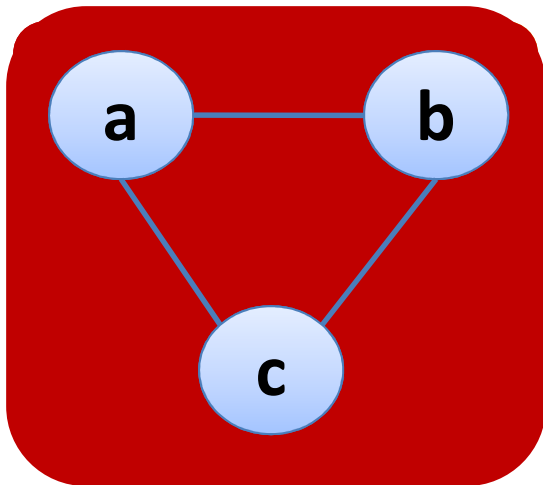
for each edge  $(u,v) \in E[G]$  do

    if FIND-SET( $u$ )  $\neq$  FIND\_SET( $v$ ) then

        UNION( $u,v$ )

# Disjoint sets for connected components

Connected components:



Process the edges:

(a, b) (f, g) (g, i) (d, e) (c, b) (a, c) (f, h) (h, g)



# Disjoint sets for connected components

**Same-Component(u,v):**

if FIND-SET(u) = FIND-SET(v) then

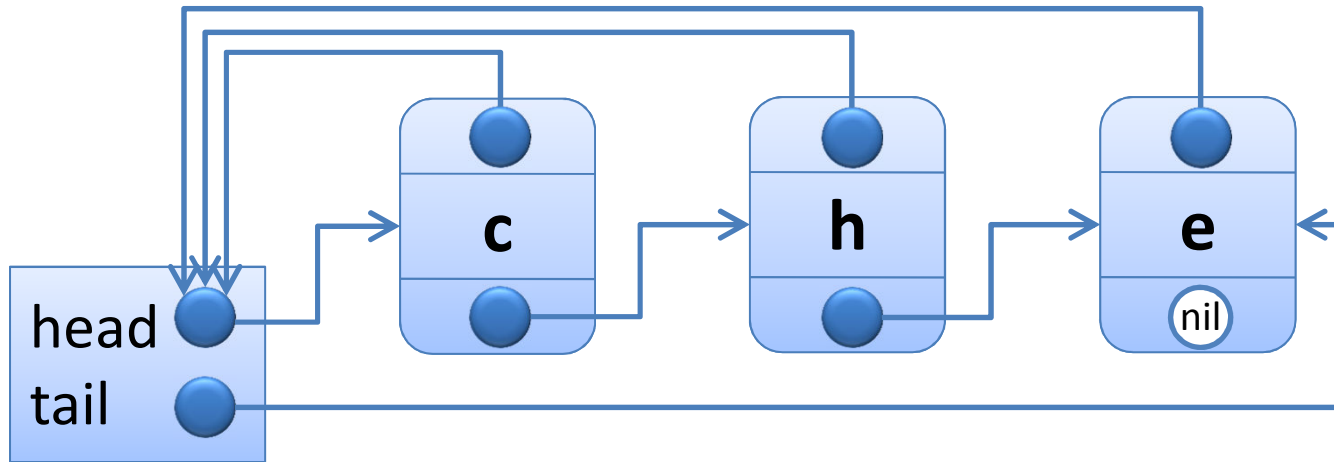
    return True

else

    return False

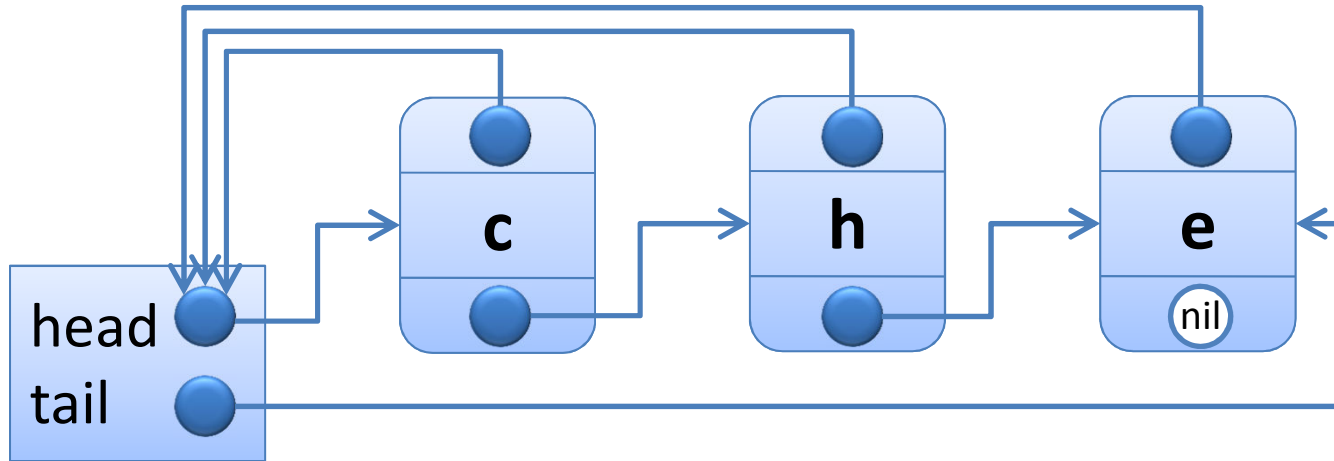
# Linked list implementation of Disjoint Sets

# Implementing a single set



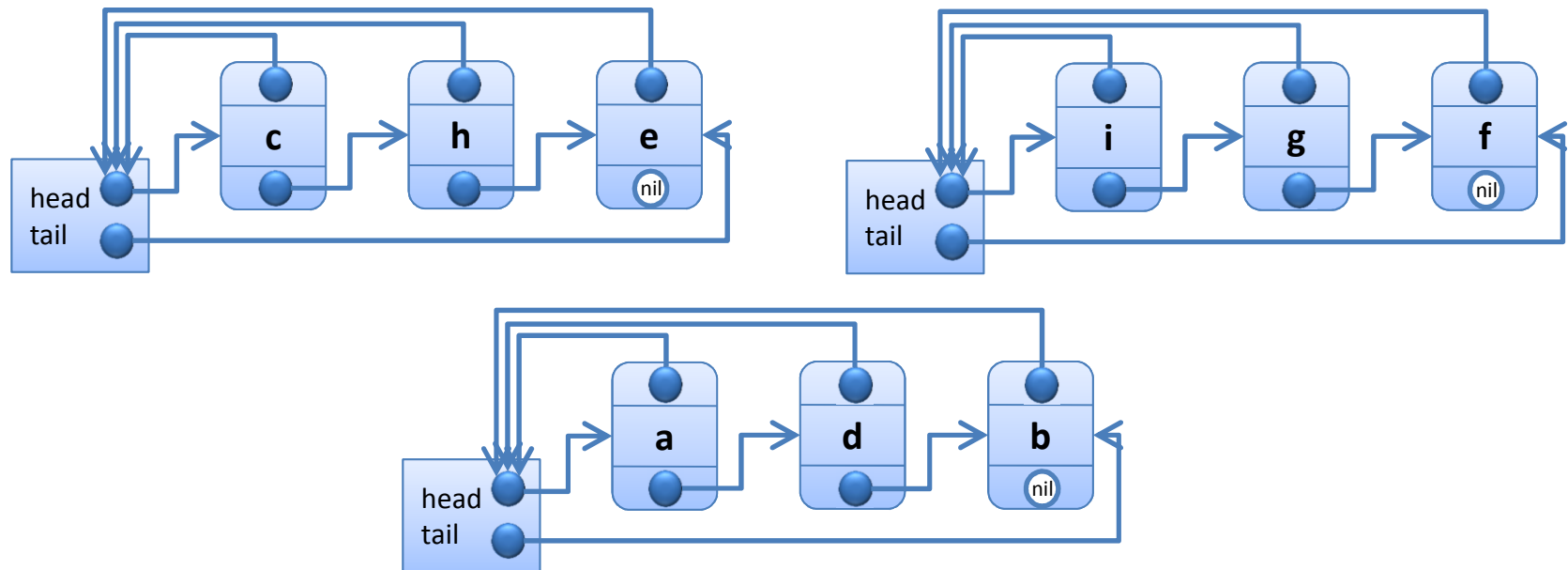
- The **representative** of the set = the **first element** in the list
- Other elements may appear in any order in the list

# Implementing a single set



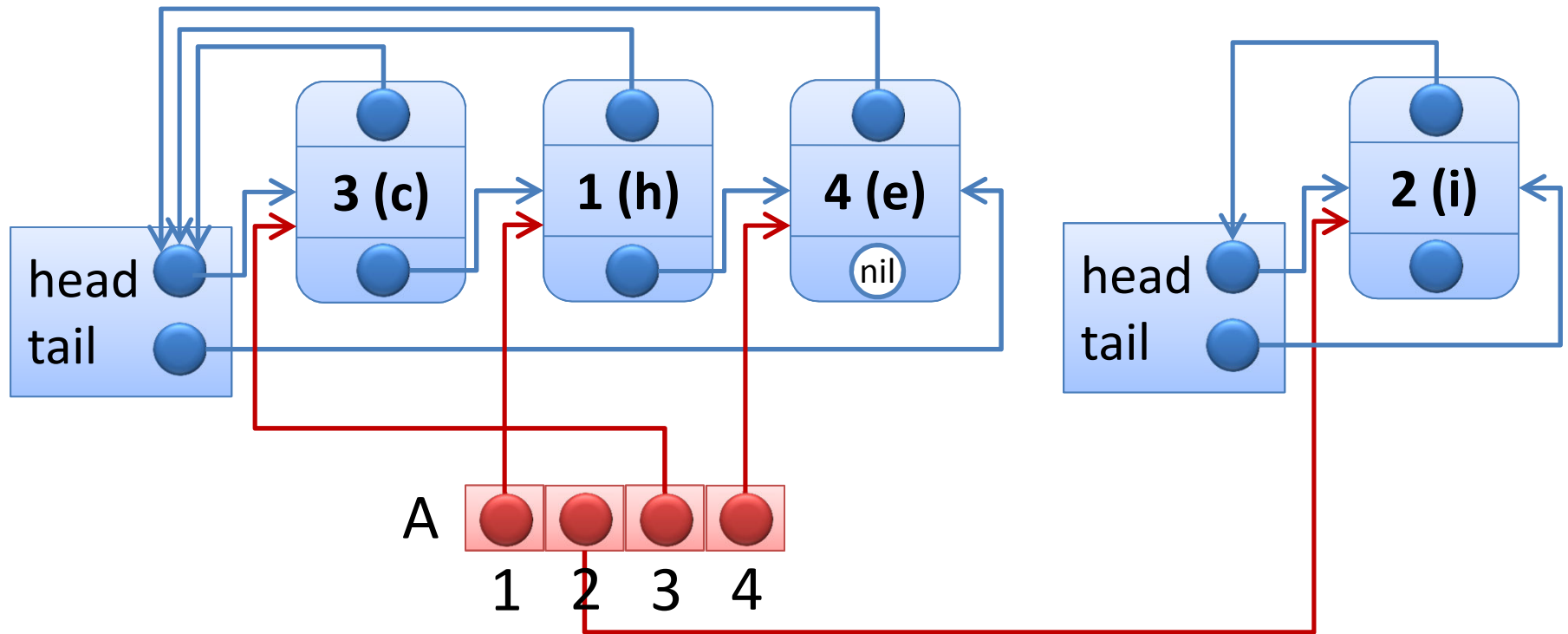
- A node contains pointers to:
  - The next element
  - Its representative
- + each set has pointer to **head** and **tail** of its list

# Implementing the data structure



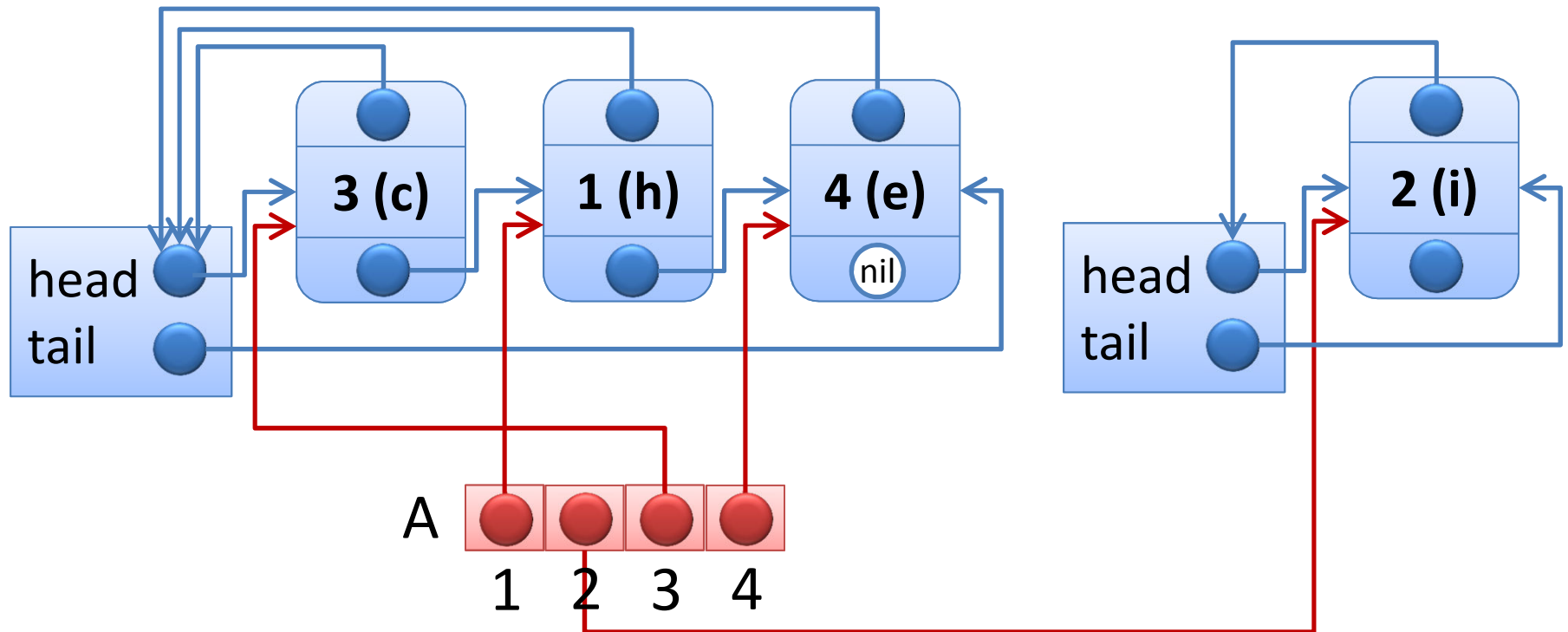
- Collection of several sets, each a linked list
- How do we do FIND-SET(h)?
  - Do we have to search through every list?

# Implementing the data structure



- In practice, we rename the elements to **1..n**, and maintain an array **A** where **A[i]** points to the list element that represents **i**.
- Now, how do we do FIND-SET(**3**)?

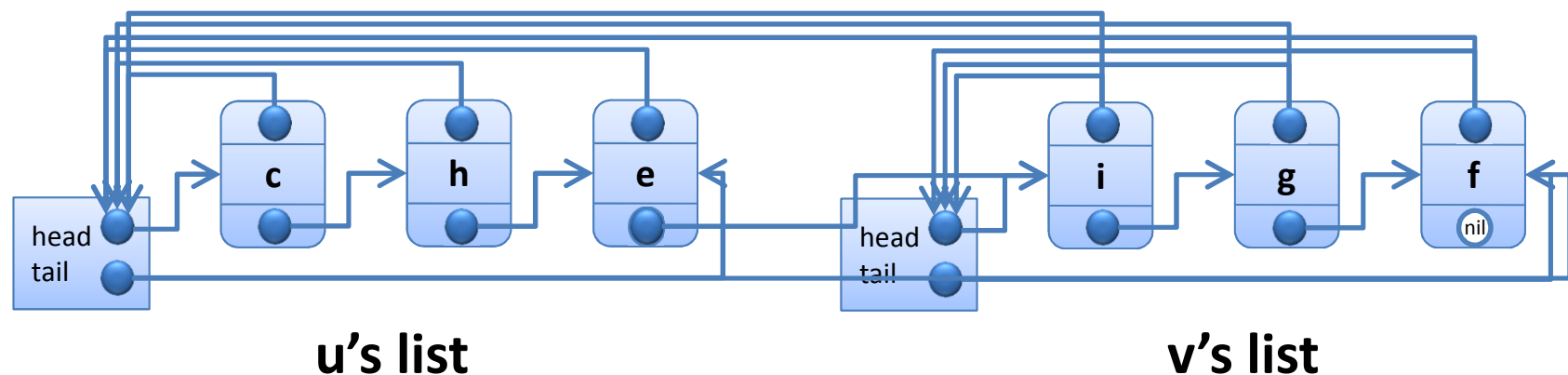
# Implementing the data structure



- Harder question: how about FIND-SET(**e**)?
  - When you rename  $h \rightarrow 1$ ,  $i \rightarrow 2$ ,  $c \rightarrow 3$ ,  $e \rightarrow 4$  you store these mappings in a *dictionary*  $D$ .
  - Later, you can call  $D.get(e)$  to retrieve the value 4.
  - So, you call  $FIND-SET(D(e))$ , which becomes  $FIND-SET(4)$ .

# Naïve implementation of Union(u,v)

- Append v's list onto the end of u's list:
  - Change u's tail pointer to the tail of v's list =  $\theta(1)$
  - Update representative pointers for all elements in the v's list =  $\theta(|v's\ list|)$ 
    - Can be a long time if  $|v's\ list|$  is large!
    - In fact, **n-1** Unions can take  $\theta(n^2)$





# Weighted-union heuristic for Union(u,v)

- Similar to the naïve Union but uses the following rule/heuristic for joining lists:
- **Append the smaller list onto the longer one** (and break ties arbitrarily)
- Does this help us do better than  $O(n^2)$ ?
- Worst-case time for a **single** Union(u,v) – **NO**
- Worst-case time for a **sequence** of **n** Union operations – **YES**

# Weighted-union running time analysis

- We will analyze the running times of disjoint-set data structures in terms of two parameters:
  - $n$  = the number UNION operations
  - $m$  = the number of FIND-SET operations

# Weighted-union running time analysis

- **Theorem:**
  - Suppose a disjoint set implemented using linked-lists and the **weighted-union heuristic** initially contains  **$n$  singleton sets**.
  - Performing a sequence of  **$n$  UNIONS** and  **$m$  FIND-SETs** takes  **$O(m + n \lg n)$**  time.
- **Compare:** for the naïve Union implementation,  **$n$  UNIONS** and  **$m$  FIND-SETs** takes  **$O(m + n^2)$**  time.

# Weighted-union running time analysis

- Let's prove the easy part first
- **FIND-SET** operations:
  - each FIND-SET operations takes  **$O(1)$**  time
  - so  **$m$**  FIND-SET operations takes  **$O(m)$**  time

# Weighted-union running time analysis

- Now the harder part – **UNION** operations:
- What takes time in a UNION operation?
  - Update **head** and **tail pointers**, a single **next pointer**, and a bunch of **representative pointers**.
  - Representative pointers take time.
  - Everything else is  $O(1)$ .
- How many times can an element's representative pointer be updated?

# Weighted-union running time analysis

- Fix an element  $x$ .
- If  $x$  is in a set  $S$  and its representative pointer changes, then  $S$  is being attached to another set with size at least  $|S|$ .
- After the union,  $x$ 's set contains at least  $2|S|$  elements.
  - Initially,  $x$ 's set contains 1 element (itself).
  - After  $x$ 's set is UNIONed once, it has size at least 2.
  - After  $x$ 's set is UNIONed twice, it has size at least 4.
  - After  $x$ 's set is UNIONed thrice, it has size at least 8.
  - ...
  - After  $x$ 's set is UNIONed  $k$  times, it has size at least  $2^k$ .

# Weighted-union running time analysis

- $\Rightarrow$  The total update time for all  $n$  elements is  $O(n \lg n)$

- \*Updating the head and tail pointers takes  $\theta(1)$  per operation, thus total time to update the pointers over at most  $n$  UNION operations is  $\theta(n)$

$$2^k \leq n \quad \leftarrow \text{apply } \log_2$$

$$k \leq \lceil \lg n \rceil$$

- $\Rightarrow x$ 's representative is updated at most  $k = \lceil \lg n \rceil$  times

# Weighted-union running time analysis

- Summary:
  - $m$  **FIND-SET** operations take  $O(m)$
  - $n$  **UNION** operations take  $O(n \lg n)$
  
- ⇒ The total time of  $n$  **UNIONs** and  $m$  **FIND-SET** operations is  $O(m + n \log n)$