CS438/2404

Lecture 4

• HWZ: OUT! Due Oct 18

TODAY

First Order Logic Quick Review - Syntax, semantics LK completeness Consequences of Completeness

Lecture Notes: completeness P. 31-38

(2)
$$\forall n \in \mathbb{N}$$
 a set of n-ary predicate
symbols (i.e. $P, Q, R, <, \leq$)

Terms over Z

Terms over Z

FIRST ORDER FORMULAS OVER Z

Example : FO Formulas in LA
(3) Fermat's Last Theorem (actually Andread
Wiles Theorem)

$$\forall n = 3$$
 ($\forall a, b, c$ $a^{+}b^{+} \neq c^{-}$)
Problem: How to say a^{-} ?
(we'll see (after how to do this!)

FREE/BOUND VARIABLES

· An occurrence of x in A is bound it x is in a subformula of A of the form VrB, or JrB (otherwise x-1s free in A) Example By (x=y+y) $P_X \wedge \forall x (\neg (x + s_X = x))$ · A formula/term is closed if it contains no free variables · A closed formula is called a sentence

SEMANTICS OF FO LOGIC
An *I-structure M* (or model) consists of:
() A nonempty set *M* called the universe
(variables range over *M*)
() For every n-ary function symbol *f* in *I*,
an associated function *f^M*: *Mⁿ*
$$\rightarrow$$
 M
() For each *n*-ary relation symbol *P* in *I*,
an associated relation *p^M* \leq *Mⁿ*
() For each *n*-ary relation *symbol P* in *I*,
an associated relation *p^M* \leq *Mⁿ*

$$\frac{Example}{J_{A}} = \underbrace{20, \text{s}, \text{s}, \text{s}}_{3} = i$$

$$M = iN$$

$$= 0 \in iN$$

$$+, \text{s}, \text{s} \text{ are usual plus, times, successor functions}$$

$$Jumping \text{ ahead a bit}: Evaluation of a formula in M
$$\forall x \forall z \quad (\exists z' (\neg(z'=0) \land \exists t z' = x))$$$$

Evaluation of formulas over M,6 Let A be an Z-formula. M = A [6] (M satisfies A under 6) iff (a) $\mathfrak{M} \models \mathsf{Pt}_{\mathsf{r}} \cdot \mathsf{t}_{\mathsf{r}}[\mathsf{G}] \text{ iff } \langle \mathsf{t}_{\mathsf{r}}^{\mathsf{m}}(\mathsf{G}) \dots, \mathsf{t}_{\mathsf{r}}^{\mathsf{m}}[\mathsf{G}] \rangle \in \mathsf{P}^{\mathsf{m}}$ (6) $M \models (s=t)[6]$ (ff $s^{an}[6] = t^{an}[6]$ (c) M = 7 A [G] iff Not M = A [G] (d) $\mathcal{M} \models (A \lor B)[G]$ iff $\mathcal{M} \models A[G] \text{ or } \mathcal{M} \models B[G]$ (e) $\mathcal{M} \models (A \land B)[G]$ iff $\mathcal{M} \models A[G]$ and $\mathcal{M} \models B(G)$ (f) $M \models \forall x \land [G]$ iff $\forall m \in M \models \land [G(M_x)]$ (g) ME JXA [6] iff JmeM MEA[6(?)]

$$\frac{\text{Example}}{M} = \{ : K, = \}$$

$$M = (IN; = , =)$$

$$R^{M}(m,n) \text{ iff } m \leq n$$

$$M \stackrel{\text{Ver}}{=} \forall x \exists y R(x,y) \qquad by M$$

$$M \stackrel{\text{Ver}}{=} \exists y \forall x R(x,y) \qquad by M$$

$$M \stackrel{\text{Ver}}{=} \exists y \forall x R(x,y) \qquad but$$

$$\exists y \forall x R(x,y)$$

IMPORTANT DEFINITIONS

() A is satisfiable iff there exists a model M and an object assignment 6 such that MEACE] ○ A set of formulas \$\overline\$ is satisfiable iff \$\overline\$ models \$\overline\$ is satisfiable iff \$\overline\$ models\$ if \$\overline\$ mode (3) $\overline{\phi} \models A$ (A is a logical consequence of $\overline{\phi}$) ift YM V6 if ME €[6] then MEA[6] EA (A is valid) iff YM, 6 MEACG]

FIRST ORDER SEQUENT CALCULUS LK

FIRST ORDER SEQUENT CALCULUS LK

Lines are again sequents
A.,..., A_k
$$\rightarrow$$
 B,..., B_k
where each A_i, B_j is a proper Z-formula
RULES
OLD RULES OF PIK
PLUS NEW RULES FOR \forall , A
like a large Large OR
AND

Vew Logical Rules for
$$\forall, \exists$$

 \forall -left $A(t), \Gamma \rightarrow \Delta$ \forall -right $\Gamma \rightarrow \Delta, A(b)$
 $\forall x A(x), \Gamma \rightarrow \Delta$ \forall -right $\Gamma \rightarrow \Delta, \forall x A(x)$
 \exists -left $A(b), \Gamma \rightarrow \Delta$ \exists -right $\Gamma \rightarrow \Delta, A(t)$
 $\exists x A(x), \Gamma \rightarrow \Delta$ \exists -right $\Gamma \rightarrow \Delta, A(t)$
 $f \rightarrow \Delta, \exists x A(x)$
 $r \rightarrow \Delta, \exists x A(x)$
 $r \rightarrow \Delta$ $f \rightarrow \Delta, \exists x A(x)$
 $r \rightarrow b$ is a free variable Not appearing in
Lower sequent of rule

Pf by induction on the number of
sequents in proof.
Axion
$$A \rightarrow A$$
 is valid
Siduction step: use previous sandness
Lemma

Soundness (Proof): By induction on the number of sequents in proof

Example:
$$\exists Left$$

Assume: $A(b), \Gamma \Rightarrow \Delta$ has an Lik proof and is valid
show: $\exists x A(x), r \Rightarrow \Delta$ also Valid
By defn $\overline{A(b)} \times \overline{\Gamma}, v ... \vee \overline{\Gamma}_{e} \vee \Delta_{i} v ... \vee \Delta_{k} \quad -is \text{ valid}$
(ex \mathfrak{M} be any structure, \mathfrak{G} any object assignment_
show: $\mathfrak{M} \not\models \neg \exists x A(x) \vee \overline{\Gamma}, v ... \vee \overline{\Gamma}_{e} \vee \Delta_{v} \ldots \Delta_{k} \quad [\mathbf{G}] \quad (+)$
 $\underline{casa1} \quad \mathfrak{M} \not\models \quad \overline{\Gamma}, v ... \vee \overline{\Gamma}_{i} \vee \Delta_{i} \vee \ldots \wedge \Delta_{k} \quad [\mathbf{G}], \text{ Then (4) holds}$
 $\underline{case2} \quad \underline{case 1} \quad does not hold.$

Example: Eleft
Assume: A(6),
$$\Gamma \Rightarrow \Delta$$
 has an LK proof and is valid
show: $\exists x A(x), n \Rightarrow \Delta$ also Valid
By defn $\overline{A(b)} v \overline{\Gamma}_i v ... v \overline{\Gamma}_i v \Delta_i v ... v \Delta_k r v volud
(et M be any structure, 6 any object assignment.
Show: $\mathcal{M} \models n \exists x A(x) v \overline{\Gamma}_i v ... v \overline{\Gamma}_k v \Delta_i v ... v \Delta_k [G]$ (4)
case 1 $\mathcal{M} \models \overline{\Gamma}_i v ... v \overline{\Gamma}_k v \Delta_i v ... v \Delta_k [G]$. Then (4) holds
case 2 case 1 does not hold.
Since b does not occur in Γ or Δ ,
 $\mathcal{M} \models \overline{\Gamma}_i v ... v \overline{\Gamma}_k v \Delta_i v ... v \Delta_k [G(\overline{T}_k)]$ for all $m \in \mathcal{M}$
Since $A(b), \Gamma \Rightarrow \Delta$ is valid, $\mathcal{M} \models \overline{A(b)} [G[\mathcal{M}_G]] V m \in \mathcal{M}$
Thus $\mathcal{M} \models n \exists x \Delta(x) [G], d - thus \exists x \Delta(x), \Gamma \Rightarrow \Delta rs valid$$

TODAY : godels completeness THEOREM

goal prove that if
$$\Gamma \rightarrow D$$
 is a logical consequence
of $\overline{\mathfrak{G}}$, then there is an $L(K - \overline{\mathfrak{G}})$ proof of $\Gamma \rightarrow D$
(called Derivational Completeness)

TODAY: LK COMPLETENESS

(MAIN CEMMA) completeness Lemma
If
$$\Gamma \Rightarrow \Delta$$
 is a logical consequence
of a set of (possibly infinite) formulas $\forall \overline{\Phi}$
then there exists a finite subset
 $\Sigma_{1,...,C_{n}}$ of $\overline{\Phi}$ such that
 $\forall C_{1,...,VC_{n}}$, $\Gamma \Rightarrow \Delta$ has a (cut-free) PK proo

* We will assume = Not in Language for NOW

Let
$$\Phi$$
 be a set of sequents or formulas
such that the sequent $\Gamma \rightarrow \Lambda$ is a
logical consequence of $\forall \Phi$.
Then there is an $LK - \Phi$ proof of
 $\Gamma \rightarrow \Lambda$.

It Proof follows from Completeness Lemma (similar to derivational completeness of PK from Completeness)

Proof of LK completeness Lemma

High Level idea (assume \$ is empty for NOW) · As in PK completeness we want to construct an LK proof in revense. · Start inth rac at root, and apply rules in reverse (to break up a formula into one or 2 smaller ones)

Tricky rules are ∃right + Vleft.
 When applying one of these in reverse,
 Need to "guess" a term

Vew Logical Rules for
$$\forall, \exists$$

 \forall -left $A(t), \Gamma \rightarrow \Delta$ \forall -Right $\Gamma \rightarrow \Delta, A(b)$
 $\forall x A(x), \Gamma \rightarrow \Delta$ \forall -Right $\Gamma \rightarrow \Delta, \forall x A(x)$

$$\exists \text{reft} \quad \underline{A(b), \Gamma \rightarrow \Lambda} \quad \exists \text{right} \quad \underline{\Gamma \rightarrow \Lambda, A(t)} \\ \exists x A(x), \Gamma \rightarrow \Lambda \quad \Gamma \rightarrow \Lambda, \exists x A(x) \\ \end{array}$$

* A,t are proper * b is a free variable Not appearing in lower sequent of rule

Proof of LK completeness Lemma High Level idea (assume \$ is empty for NOW) · As in PK completeness, we want to construct an LK proof in revense · Start with P=A at root, and apply rules in reverse (to break up a formula into one or 2 smaller ones) Tricky rules are Bright + Vleft. when applying one of these in reverse, Need to "guess" a term • Key is to systematically try all possible terms — without going down a rabbit hole.

 $\exists x (P x \land Q x) \rightarrow \exists x P x \land \exists x Q x$

Example of an LK proof

$$Pa_{1}Qa \rightarrow Pb$$

$$\frac{Pa_{1}Qa \rightarrow Pb}{Pa_{1}Qa \rightarrow Pb}$$

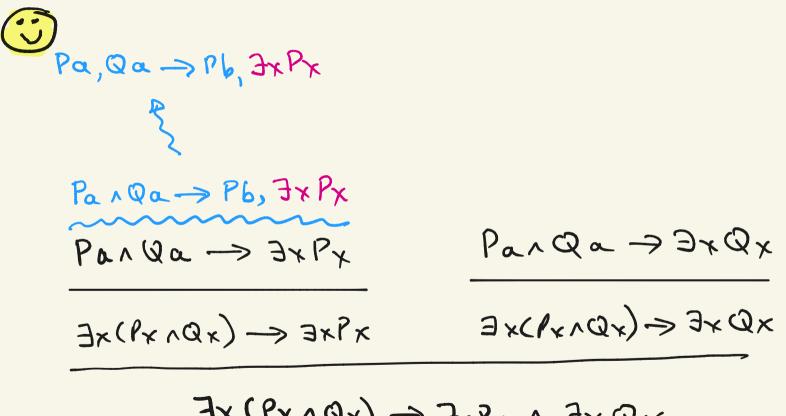
$$\frac{Pa_{1}Qa \rightarrow Pb}{Pa_{2}Qa \rightarrow Pb}$$

$$\frac{Pa_{1}Qa \rightarrow Pb}{Pa_{2}Qa \rightarrow Pb}$$

$$\frac{Pa_{2}Qa \rightarrow Pb}{Pa_{2}Qa \rightarrow Pb}$$

 $\exists x (P x \land Q x) \rightarrow \exists x P x \land \exists x Q x$

Instead .



JX (PX AQX) -> JXPX A JXQX

Instead

$$Fry = \frac{Pa, Qa \rightarrow Pb, Pfa, \exists xRx}{Pa, Qa \rightarrow Pb, \exists xRx}$$

$$\frac{Pa, Qa \rightarrow Pb, \exists xRx}{Pa, Qa \rightarrow Pb, \exists xRx}$$

$$\frac{Pa, Qa \rightarrow Pb, \exists xRx}{Pa, Qa \rightarrow \exists xQx}$$

$$\frac{Pa, Qa \rightarrow \exists xRx}{Pa, Qa \rightarrow \exists xQx}$$

$$\frac{da}{da} = \frac{Pa, Qa \rightarrow \exists xQx}{da}$$

 $\exists x (P x \land Q x) \rightarrow \exists x P x \land \exists x Q x$

and
again
again
Try
Pa,Qa
$$\rightarrow$$
 Pb, Pfa, Pfb, JxPx
Try
again
Pa,Qa \rightarrow Pb, Pfa, JxPx
Pa,Qa \rightarrow Pb, JxPx
A
 $\frac{Pa,Qa \rightarrow Pb, JxPx}{Jx(Px \land Qx) \rightarrow JxQx}$
 $\frac{Jx(Px \land Qx) \rightarrow JxPx}{Jx(Px \land Qx) \rightarrow JxQx}$

and
again
and
again
again
Try

$$Pa,Qa \rightarrow Pb, Pfa, Pfb, 3xPx$$

Try
 $Pa,Qa \rightarrow Pb, Pfa, 3xPx$
 $Pa,Qa \rightarrow Pb, 3xPx$
 $Pa,Qa \rightarrow 3xPx$
 $Ax(Px \wedge Qx) \rightarrow 3xPx$
 $Ax(Px \wedge Qx) \rightarrow 3xPx \wedge 3xQx$

and
again
again

$$a_{3}$$

and
 $r_{a},Qa \rightarrow Pb,Pfa,Pfb, 3xRx$
 $r_{a},Qa \rightarrow Pb,Pfa,BxRx$
 $r_{a},Qa \rightarrow Pb,Pfa,BxRx$
 $r_{a},Qa \rightarrow Pb,Pfa,BxRx$
 $r_{a},Qa \rightarrow Pb,Pfa,BxRx$
 $r_{a},Qa \rightarrow Pb,BxRx$
 $r_{a},$

 $\exists x (Px \land Qx) \rightarrow \exists x Px \land \exists x Qx$

Completeness: Proof Search Algorithm

Enumeration of formulas + terms : Since the number of underlying symbols of L 15 finite, there is an enumeration of pairs < A, t, >, < A2, t27, < A2, t32, such that every term and every formula in 2 occur infinitely often in the enumeration More details of enumeration (2 finite) Enumerate all L-formulas A, Az, ... Enumerate : L-terms t₁,... such that every formula/term occurs infinitely often Enumerate all pairs to have same property A Az Az Az Ay ...

Completeness: Proof Search Algorithm

Initially II is the sequent r⇒0
At each stage, modify IT by adding some A, ∈⊕ to antecedent of all sequents in IT, and adding onto the "frontier" or "active" sequents in IT
Active sequent : a leaf sequent in IT, not a weakening of A>A
At stage K: we will use the Kth pair <A_K t_K > in the enumeration

Completeness: Proof Search Algorithm Stage K : (1) If Areq, replace r'>d in TI by r', Ar ->d' (2) If A_k atomic, skip this step. Otherwise for all leaf sequents containing A, break up outermost connective of Ar using the appropriate logical rule, and tx if Necessary. Г, ВСС) → Л variable Examples: · A = 3xBx Γ, ∃xB(x)→Δ leep both ra, JxB(2), Bltr r→d, ∃×B(x)

Completeness: Proof Search Algorithm
Stage K:
(1) If
$$A_{\kappa} \in \overline{\emptyset}$$
, replace $\Gamma' \Rightarrow \delta$ in TI by $\Gamma', A_{\kappa} \Rightarrow \delta'$
(2) If A_{κ} atomic, skip this step. Otherwise
for all leaf sequents containing A_{κ} , break up
outermost connective of A_{κ} using the appropriate
logical rule, and t_{κ} if Necessary.
Examples:
 $A_{\kappa} = \forall x \ B(x)$
 $F \Rightarrow \delta, B(c)$
 $B(t_{\kappa}), \forall x \ B(x), \Gamma \Rightarrow \delta$
 $Reep both$
 $respective of (x) = \delta$

Exit when no more active sequents

We want to show:
If Algorithm halfs, TT is an LK-\$\overline\$ proof of VC1,..., VC1,

We want to show: If Algorithm Never halts, then $\forall \overline{\mathcal{P}} \not\models \Gamma \Rightarrow \Delta$

Suppose Algorithm doesn't hatt and let TT be the (bypically infinite) tree that results Leaf "sequents" of TI look like $\Gamma'_{1} \subset \mathcal{L}_{2} \longrightarrow \Delta'$ all of $\overline{\Phi}$ each infinitely often Find a bad path & in the tree: If II finite, 3 some active leaf node containing only atomic formulas. Choose & to be path from root to this leaf

We want to show: If Algorithm Never halts, then $\forall \bar{\not{\Phi}} \models \bar{\Gamma} \rightarrow \Delta$

We will construct a "term" model \mathcal{M} , + object assignment G from β such that $\mathcal{M} \models \overline{\Phi} \lfloor G \rfloor$ but $\mathcal{M} \models \Gamma \rightarrow \beta$ (and thus our algorithm fails to halt + produce a proof only when $\Gamma \rightarrow \beta$ is not a logical consequence of $\overline{\Phi}$.)

We will construct a "term" model M, + object assignment G from β such that M = € [G] but M = [->b

Universe M: all L-terms t (containing only free vars) 6: map vanable a to itself

$$\int_{r_{1}...r_{k}}^{m} (r_{1}...r_{k}) \stackrel{d}{=} fr_{1}...r_{k}$$

$$\int_{r_{1}...r_{k}}^{m} (r_{1}...r_{k}) \stackrel{d}{=} true \quad if \text{ and only if } Pr_{1}..r_{k}$$

$$\int_{r_{1}...r_{k}}^{m} (r_{1}...r_{k}) \stackrel{d}{=} true \quad if \text{ and only if } some \text{ sequent in } \beta$$

$$\int_{r_{1}...r_{k}}^{m} (r_{1}...r_{k}) \stackrel{d}{=} fr_{1}...r_{k}$$

Proof of correctness (cont'd) <u>Claim</u>: For every formula A, M, 6 satisfies A iff A is on the LEFT of some sequent in B, and Mis falsifies A iff A is on the Right of some sequent in B Proof (induction on A) Induction step A= JxB(x) on RigHT By Ind hyp, M, & falsily B(t;) Since ∃xB(x) persists, we have Ut B(t) on Right of some sequent Thus M, 6 falsity BLt) for all *kerms* t

