

Week 8

HW3 Due Today!

HW4 (Last one!) out

## Week 7 Summary (2 weeks ago!)

1. We saw  $D = \{x \mid \{x\}_1(x) \text{ does not accept}\}$   
is not r.e. by diagonalization
2. Using reductions we proved  
 $K, \text{ Halt}$  are not recursive

Using Reductions to show other  
(more natural) Languages / functions  
are not computable / recursive / r.e.

High Level:

- ① Say we know  $L_1$  not recursive  
To show  $L_2$  not recursive, design a TM  $M_1$   
always halts +  $\mathcal{L}(M_1) = L_1$ , assuming a  
TM  $M_2$  that always halts +  $\mathcal{L}(M_2) = L_2$
- ② Suppose  $L_1$  not r.e.  
To show  $L_2$  not r.e., construct  $M_1$  st  $\mathcal{L}(M_1) = L_1$   
assuming a TM  $M_2$  st  $\mathcal{L}(M_2) = L_2$

# The Halting Problem is not Recursive

$$K \stackrel{d}{=} \{ x \mid \text{TM } \{x\} \text{ halts on input } x \}$$

$$\text{HALT} \stackrel{d}{=} \{ \langle x, y \rangle \mid \text{TM } \{x\} \text{ halts on input } y \}$$

Theorem. HALT, K are both r.e.,  
neither are recursive

# The Halting Problem is not Recursive

$$K = \{ x \mid \text{TM } \{x\} \text{ halts on input } x \}$$

Theorem  $K$  is not recursive

If  $K$  recursive then  $D$  also recursive

Theorem Halt not recursive

If Halt recursive then  $K$  recursive

## Tips

- (1.) Try obvious algorithms to see if you think language is recursive, r.e., or neither
- (2.) To show  $L$  not r.e., sometimes it helps to work with  $\bar{L}$   
(i.e. if  $\bar{L}$  r.e., &  $\bar{L}$  not recursive then  $L$  not r.e.)
- (3) get reduction in correct direction.  
many times constructed TM  $M_1$  will ignore its own input

$L = \{x \mid \{x\} \text{ accepts at least one input}\}$

$L = \{x \mid \{x\} \text{ accepts at least one input}\}$

•  $L$  is r.e. (Dovetailing)

•  $L$  is not recursive

$L_1 = K = \{y \mid \{y\}(y) \text{ halts}\}$

Assume  $L_2 = L$  is recursive + let  $M_2$  be TM  $\mathcal{L}(M_2) = L$   
and  $M_2$  always halts

$M_1$  on input  $y$ :

Construct encoding  $z$  of TM  $\{z\}$  where

$\{z\}$  on input  $x$ : Ignores  $x$  + runs  $\{y\}$  on  $y$   
and accepts  $x$  if  $\{y\}(y)$  halts

Run  $M_2$  on  $z$  and accept  $y$  iff  $M_2(z)$  accepts

Claim  $\mathcal{L}(M_1) = K$  and  $M_1$  always halts

$y \in K \Rightarrow \{y\}(y) \text{ halts} \Rightarrow \{z\} \text{ accepts all inputs} \Rightarrow M_2(z) = 1 \Rightarrow M_1(y) = 1$

$y \notin K \Rightarrow \{y\}(y) \text{ doesn't halt} \Rightarrow \{z\} \text{ accepts no input} \Rightarrow M_2(z) \neq 1 \Rightarrow M_1(y) \neq 1$



# Completeness

A set  $A \subseteq \mathbb{N}$  is **r.e.-complete** if

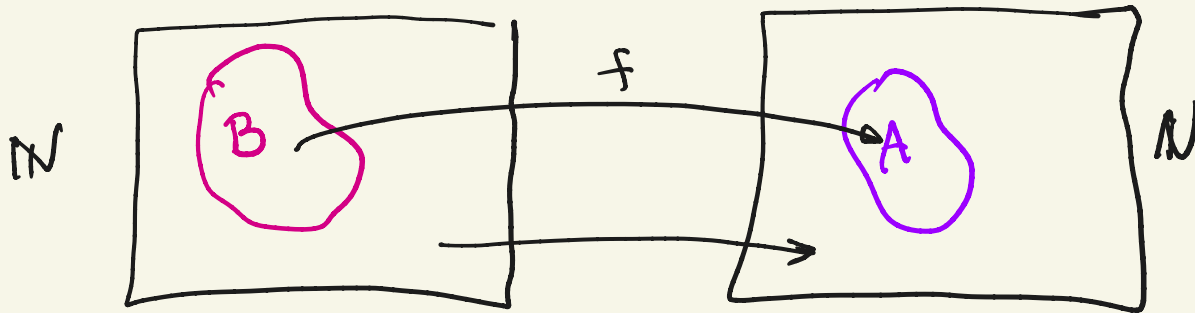
(1)  $A$  is r.e.

(2)  $\forall B \subseteq \mathbb{N}$ , if  $B$  is r.e. then  $B \leq_m A$



$B$  reduces to  $A$

so if  $A$  is recursive then  $B$  recursive



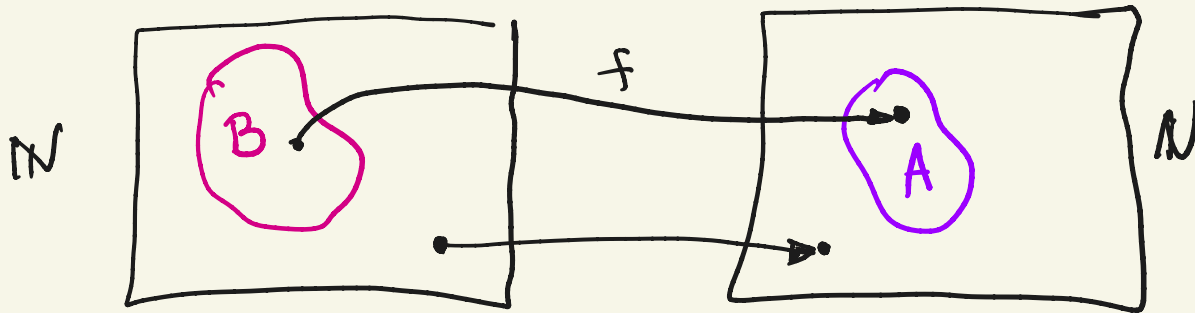
# Completeness

A set  $A \subseteq \mathbb{N}$  is **r.e.-complete** if

(1)  $A$  is r.e.

(2)  $\forall B \subseteq \mathbb{N}$ , if  $B$  is r.e. then  $B \leq_m A$

$\exists$  computable function  $f: \mathbb{N} \Rightarrow \mathbb{N}$  such that  
 $\forall x \quad f(x) \in A \iff x \in B$



## Hilbert's 10<sup>th</sup> Problem (1900)

A diophantine equation is of the form  $p(\vec{x}) = 0$  where  $p$  is a polynomial over variables  $x_1, \dots, x_n$  with integer coefficients

$$\underline{\text{Ex}} \quad 3x_1^5 x_2^3 + (x_1 + 1)^8 - x_7^{10} = 0$$

$$\mathcal{L}_{\text{DIOPH}} = \{ \langle p \rangle \mid p \text{ has a solution over } \mathbb{N} \}$$

Theorem

$\mathcal{L}_{\text{DIOPH}}$  is r.e.-complete

# An Equivalent characterization of RE Sets

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$

Then  $R_f \subseteq \mathbb{N} \times \mathbb{N}$

is the set of all pairs  $(x, y)$  such that  $f(x) = y$

\* Theorem  $f$  computable if and only if  $R_f$  is r.e.

## An Equivalent characterization of RE sets

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$

Then  $R_f \subseteq \mathbb{N} \times \mathbb{N}$

is the set of all pairs  $(x, y)$  such that  $f(x) = y$

\*Theorem  $f$  computable if and only if  $R_f$  is r.e.

Proof  $\Rightarrow$ : Suppose  $f$  computable.

TM for  $R_f$  on input  $(x, y)$ :

Run TM computing  $f$  on  $x$ .

If it halts and outputs  $y$  then accept  $(x, y)$

Otherwise reject  $(x, y)$

## An Equivalent Characterization of RE Sets

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$

Then  $R_f \subseteq \mathbb{N} \times \mathbb{N}$

is the set of all pairs  $(x, y)$  such that  $f(x) = y$

\*Theorem  $f$  computable if and only if  $R_f$  is r.e.

Proof  $\Leftarrow$ : Let  $R_f$  be r.e. with TM  $M$

On  $x$ : Enumerate all  $\mathbb{N}$ :  $y_1, y_2, \dots$

For  $i=1, 2, \dots$

For all  $j \leq i$ : simulate  $M$  on  $(x, y_j)$  for  $i$  steps

If simulation accepts  $(x, y_j)$ ,  
halt + output  $y_j$

## A second characterization of RE sets

\*Theorem A relation  $A \subseteq \mathbb{N}^k$  is r.e.

if and only if there is a recursive relation  $R \subseteq \mathbb{N}^{k+1}$  such that

$$\vec{x} \in A \iff \exists y R(\vec{x}, y) \quad \forall \vec{x} \in \mathbb{N}^n$$

Note We defined  $A$  to be r.e. iff there is a TM  $M$  such that  $\forall \vec{x} \in \mathbb{N}^n$  ( $M(\langle x \rangle)$  accepts  $\iff \vec{x} \in A$ )

## A Second Characterization of RE Sets

\* Theorem A relation  $A \subseteq \mathbb{N}^k$  is r.e.

if and only if there is a recursive relation  $R \subseteq \mathbb{N}^{k+1}$  such that

$$\vec{x} \in A \iff \exists y R(\vec{x}, y) \quad \forall \vec{x} \in \mathbb{N}^n$$

### Proof sketch

$\Rightarrow$ : Let  $A$  be r.e.,  $\alpha(M) = A$

$R(\vec{x}, y)$ : view  $y$  as encoding of an  $m \times m$  tableaux  
for some  $m \in \mathbb{N}$

$(\vec{x}, y) \in R \iff M(\vec{x})$  halts in  $m$  steps and accepts  
and  $y$  is the  $m \times m$  tableaux  
of  $M(\vec{x})$



## A second characterization of RE sets

\*Theorem A relation  $A \subseteq \mathbb{N}^k$  is r.e.

if and only if there is a recursive relation  $R \subseteq \mathbb{N}^{k+1}$  such that

$$\vec{x} \in A \Leftrightarrow \exists y R(\vec{x}, y) \quad \forall \vec{x} \in \mathbb{N}^n$$

### Proof sketch

$\Leftarrow$  Let  $R \subseteq \mathbb{N}^{k+1}$  be recursive relation such that  $\vec{x} \in A \Leftrightarrow \exists y R(\vec{x}, y)$ , + Let  $\mathcal{L}(M) = R$

on input  $\vec{x}$ :

For  $i=1, 2, \dots$

For  $j=1$  to  $i$

Run  $M$  on  $(\vec{x}, y_j)$

halt + accept if  $M(\vec{x}, y_j)$  accepts

## Review of Definitions

$\mathcal{L}_A = \{0, S, +, \cdot, =\}$  Language of arithmetic

$\bar{\Phi}_0 =$  all  $\mathcal{L}_A$ -sentences

$T_A = \{A \in \bar{\Phi}_0 \mid \mathbb{N} \models A\}$  True Arithmetic

A theory  $\Sigma$  is a set of sentences (over  $\mathcal{L}_A$ ) closed under logical consequence

- We can specify a theory by a subset of sentences that logically implies all sentences in  $\Sigma$

$\Sigma$  is consistent iff  $\bar{\Phi}_0 \not\equiv \Sigma$  (iff  $\forall A \in \bar{\Phi}_0$ , either  $A$  or  $\neg A$  Not in  $\Sigma$ )

$\Sigma$  is complete iff  $\Sigma$  is consistent and  $\forall A$  either  $A$  or  $\neg A$  is in  $\Sigma$

$\Sigma$  is sound iff  $\Sigma \subseteq TA$

Let  $\mathcal{M}$  be a model/structure over  $\mathcal{L}_A$

$$\text{Th}(\mathcal{M}) = \{ A \in \widehat{\Phi}_0 \mid \mathcal{M} \models A \}$$

$\text{Th}(\mathcal{M})$  is complete (for all structures  $\mathcal{M}$ )

Note  $TA = \text{Th}(\mathbb{N})$  is complete, consistent, & sound

$$\text{VALID} = \{ A \in \widehat{\Phi}_0 \mid \models A \} \longleftarrow \text{smallest theory}$$

Let  $\Sigma$  be a theory

$\Sigma$  is axiomatizable if there exists a set  $\Gamma \subseteq \Sigma$

such that ①  $\Gamma$  is recursive

$$\text{② } \Sigma = \{ A \in \mathcal{F}_0 \mid \Gamma \vdash A \}$$

Theorem  $\Sigma$  is axiomatizable iff  $\Sigma$  is r.e.

(p. 76 of Notes)

Let  $\Sigma$  be a theory

$\Sigma$  is axiomatizable if there exists a set  $\Gamma \subseteq \Sigma$

such that ①  $\Gamma$  is recursive

②  $\Sigma = \{A \in \mathcal{F}_0 \mid \Gamma \vdash A\}$

Theorem  $\Sigma$  is axiomatizable iff  $\Sigma$  is r.e.

Proof  $\Rightarrow$ . Suppose  $\Sigma$  is axiomatizable,  $\Gamma$  recursive

Define  $R(x, y) = \text{true}$  iff  $y$  encodes a  $\Gamma$ -LK proof  
of (the formula encoded by)  $x$

$R$  is recursive, so by previous **\*Theorem**,  $\Sigma$  is r.e.

Let  $\Sigma$  be a theory

$\Sigma$  is axiomatizable if there exists a set  $\Gamma \subseteq \Sigma$

such that ①  $\Gamma$  is recursive

②  $\Sigma = \{A \in \mathcal{F}_0 \mid \Gamma \vdash A\}$

Theorem  $\Sigma$  is axiomatizable iff  $\Sigma$  is r.e.

Proof  $\Rightarrow$ . Suppose  $\Sigma$  is axiomatizable,  $\Gamma$  recursive

Define  $R(x, y) = \text{true}$  iff  $y$  encodes a  $\Gamma$ -LK proof  
of (the formula encoded by)  $x$

$R$  is recursive, so by previous \*Theorem,  $\Sigma$  is r.e.

$\Leftarrow$  By \*Theorem,  $\Sigma = \text{range of total computable function } f$

$\therefore \Sigma = \{f(0), f(1), f(2), \dots\}$

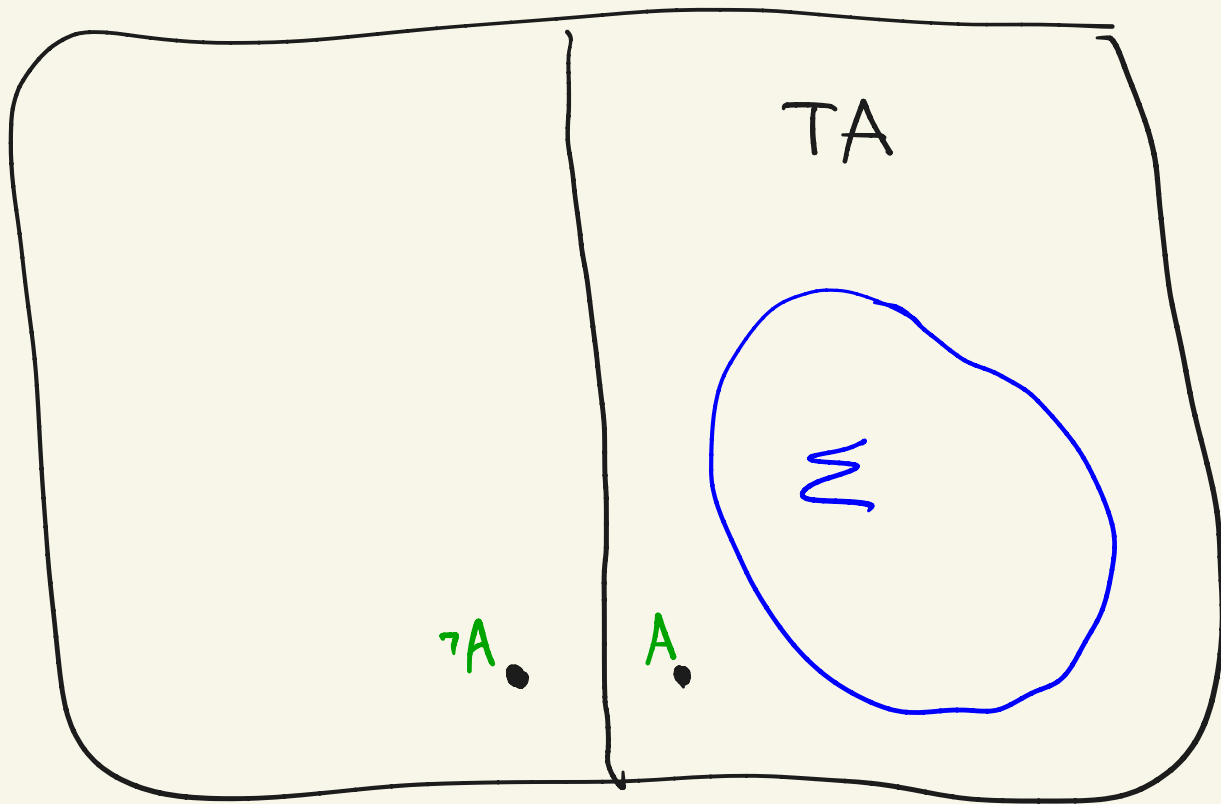
## Incompleteness - Introduction

① TA is not r.e. (so by previous theorem, not axiomatizable)

First Incompleteness Theorem Every sound axiomatizable theory is incomplete

$\Phi_0$ :

all  $L_A$   
sentences



$\Sigma$  sound and axiomatizable  $\Rightarrow \exists A, \neg A \notin \Sigma$



# Incompleteness - Introduction

① TA is not r.e. (so by previous theorem, not axiomatizable)

First Incompleteness Theorem Every sound axiomatizable theory is incomplete

② Define PA - Peano arithmetic  
Sound, axiomatizable  
So by Tarski's Thm, PA is incomplete

③ Gödel's second Incompleteness Thm:  
A specific sentence asserting  
"PA is consistent" is not a theorem of PA

## FIRST INCOMPLETENESS THEOREM

We define a predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \overline{\Phi}_0 \text{ that is in TA} \}$$

We will show that  $\text{Truth}$  is not r.e.

# FIRST INCOMPLETENESS THEOREM

We define a predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \bar{\Phi}_0 \text{ that is in TA} \}$$

We will show that  $\text{Truth}$  is not r.e.:

Defn A predicate is arithmetical if it can be represented by a formula over  $\mathcal{L}_A$

We'll show:

- ① Every r.e. predicate/language is arithmetical
- ②  $\text{Truth}$  is not arithmetical

$\therefore$   $\text{Truth}$  is not r.e.

Since **Truth** is not r.e.,

there is no r.e. TM that accepts exactly the sentences in TA

$\therefore$  TA is **not** axiomatizable

$\therefore$  Any sound, axiomatizable theory  $\Sigma$  is **incomplete**.  
(There is a sentence  $A \in \mathcal{F}_0$  such that neither  $A$  or  $\neg A$  are in  $\Sigma$ .)

# FIRST INCOMPLETENESS THEOREM

We define a predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \overline{\Phi}_0 \text{ that is in TA} \}$$

We will show that  $\text{Truth}$  is not r.e.:

Defn A predicate is arithmetical if it can be represented by a formula over  $\mathcal{L}_A$

Show

①

Every r.e. predicate/language is arithmetical

②

$\text{Truth}$  is not arithmetical

$\therefore \text{Truth}$  is not r.e.

Tarski  
Theorem pp. 73-74

Exists-Delta Theorem  
pp. 68-71

# ① Every R.e. predicate is arithmetical

Definition Let  $s_0 = 0$ ,  $s_1 = s_0$ ,  $s_2 = s s_0$ , etc.

Let  $R(x_1, \dots, x_n)$  be an  $n$ -ary relation  $R \subseteq \mathbb{N}^n$

Let  $A(x_1, \dots, x_n)$  be an  $\mathcal{L}_A$  formula, with free variables  $x_1, \dots, x_n$

$A(\vec{x})$  represents  $R$  iff  $\forall \vec{a} \in \mathbb{N}^n \quad R(\vec{a}) \iff \mathbb{N} \models A(s_{a_1}, s_{a_2}, \dots, s_{a_n})$

Example  $R \subseteq \mathbb{N}$   $R = \{a \in \mathbb{N} \mid a \text{ is even}\}$

$$A : \exists y (y + y = x)$$

$$3 \notin R, \text{ and } \mathbb{N} \not\models A(ss_0) = \exists y (y + y = sss_0)$$

$$4 \in R, \text{ and } \mathbb{N} \models A(sss_0) = \exists y (y + y = ssss_0) \quad y = s s_0$$

# ① Every R.e. predicate is arithmetical

Definition Let  $s_0 = 0$ ,  $s_1 = s_0$ ,  $s_2 = s s_0$ , etc.

Let  $R(x_1, \dots, x_n)$  be an  $n$ -ary relation  $R \subseteq \mathbb{N}^n$

Let  $A(x_1, \dots, x_n)$  be an  $\mathcal{L}_A$  formula, with free variables  $x_1, \dots, x_n$

$A(\vec{x})$  represents  $R$  iff  $\forall \vec{a} \in \mathbb{N}^n \quad R(\vec{a}) \iff \mathbb{N} \models A(s_{a_1}, s_{a_2}, \dots, s_{a_n})$

$R$  is arithmetical iff there is a formula

$A \in \mathcal{L}_A$  that represents  $R$

Exists-Delta-Theorem every r.e. relation

is arithmetical. In fact every r.e. relation

is represented by a  $\exists \Delta_0 \mathcal{L}_A$ -formula.

## $\exists \Delta_0$ Formulas

$t_1 \leq t_2$  stands for  $\exists z (t_1 + z = t_2)$

$\exists x \leq t A$  stands for  $\exists x (x \leq t \wedge A)$

$\forall x \leq t A$  stands for  $\forall x (x \leq t \supset A)$

} Bounded  
Quantifiers

Definition A formula is a  $\Delta_0$ -formula if it has

the form  $\underbrace{\forall x_1 \leq t_1 \exists x_2 \leq t_2 \forall x_3 \leq t_3 \dots \exists x_k \leq t_k}_{\text{Bounded Quantifiers}} A(x_1 \dots x_k \vec{y})$

No  
Quantifiers

Definition A relation  $R(\vec{x})$  is a  $\Delta_0$ -relation iff some  $\Delta_0$ -formula represents it



## $\exists \Delta_0$ Formulas

Example Prime =  $\{x \in \mathbb{N} \mid x \text{ is prime}\}$  is a  $\Delta_0$ -relation, represented by the following  $\Delta_0$ -formula:

$$s0 < x \wedge \forall z \leq x \forall y \leq x (x = z \cdot y \supset (z = 1 \vee z = x))$$

## $\exists\Delta_0$ Formulas

$t_1 \leq t_2$  stands for  $\exists w (t_1 + w = t_2)$

$\exists z \leq t A$  stands for  $\exists z (z \leq t \wedge A)$

$\forall z \leq t A$  stands for  $\forall z (z \leq t \supset A)$

} Bounded  
Quantifiers

Definition A formula is a  $\Delta_0$ -formula if it has

the form  $\forall z_1 \leq t_1 \exists z_2 \leq t_2 \forall z_3 \leq t_3 \dots \exists z_k \leq t_k A(z_1 \dots z_k, \vec{x})$

Definition A  $\exists\Delta_0$  formula has the form  $\exists \forall B$   
 $\Delta_0$  formula

Definition A relation  $R(\vec{x})$  is a  $\Delta_0$ -relation iff  
some  $\Delta_0$ -formula represents it

Definition  $R(\vec{x})$  is a  $\exists\Delta_0$ -relation iff some  $\exists\Delta_0$ -formula  
represents it

## $\exists \Delta_0$ Formulas

Lemma Every  $\Delta_0$  relation is recursive

Lemma Every  $\exists \Delta_0$  relation is r.e.

$\exists \Delta_0$  (Exists-Delta) Theorem every r.e.  
relation is represented by a  $\exists \Delta_0$  formula

## $\exists\Delta_0$ Theorem

Main Lemma Let  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  be a total computable function.

$$\text{Let } R_f = \{ (\vec{x}, y) \in \mathbb{N}^{n+1} \mid f(\vec{x}) = y \}$$

Then  $R_f$  is a  $\exists\Delta_0$ -relation.

← also called graph(f)

Main Lemma Let  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  be total, computable  
Then  $R_f = \{ \langle \vec{x}, y \rangle \mid f(\vec{x}) = y \}$  is a  $\exists \Delta_0$  relation

---

### Proof of $\exists \Delta_0$ Theorem from Main Lemma

Let  $R(\vec{x})$  be an r.e. relation

Then  $R(\vec{x}) = \exists y S(\vec{x}, y)$  where  $S$  is recursive

Since  $S$  is recursive,  $f_s(\vec{x}, y) = \begin{cases} 1 & \text{if } (\vec{x}, y) \in S \\ 0 & \text{otherwise} \end{cases}$

is total computable

By main lemma,  $R_{f_s}$  is represented by a  $\exists \Delta_0$  relation

So  $R(\vec{x}) = \exists y \underbrace{\exists z B}_{R_{f_s}}$  is represented by a  $\exists \Delta_0$  relation

## Proof of Main Lemma (see pp 70-71)

Main idea: is a way of representing sequences of numbers by numbers using  $\exists \Delta_0$  formulas

Note: Prime power decomposition not useful here since we only have  $s, +, \cdot$

(ie. represent  $(a_1, a_2, a_3, a_4)$  by  $2^{a_1} \cdot 3^{a_2} \cdot 5^{a_3} \cdot 7^{a_4}$ )

Definition  $\beta$ -function

$$\beta(c, d, i) = \text{rm}(c, d(i+1) + 1)$$

$$\text{where } \text{rm}(x, y) = x \bmod y$$

## Proof of Main Lemma (see pp 70-71)

Definition  $\beta$ -function

$$\beta(c, d, i) = \text{rm}(c, d(i+1)+1) \quad \text{where } \text{rm}(x, y) = x \bmod y$$

Lemma 0.  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that

$$\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$$

↕ so the pair  $(c, d)$  represents the sequence  
 $r_0, r_1, \dots, r_n$  using  $\beta$

## Proof of Main Lemma (see pp 70-71)

Definition  $\beta$ -function

$$\beta(c, d, i) = \text{rm}(c, d(i+1)+1) \quad \text{where } \text{rm}(x, y) = x \bmod y$$

Lemma 0.  $\forall n, r_0, r_1, \dots, r_n \quad \exists c, d$  such that

$$\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$$

ERT (Chinese Remainder Theorem)

Let  $r_0, \dots, r_n, m_0, \dots, m_n$  be such that  
 $0 \leq r_i \leq m_i \quad \forall i, 0 \leq i \leq n$  and  $\gcd(m_i, m_j) = 1 \quad \forall i, j$

then  $\exists r$  such that  $\text{rm}(r, m_i) = r_i \quad \forall i, 0 \leq i \leq n$



## Proof of Main Lemma (see pp 70-71)

Lemma 0  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that  
 $\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$

$$\beta(c, d, i) = \text{rm}(c, d(i+1)+1)$$

where  $\text{rm}(x, y) = x \bmod y$

### Chinese Remainder Theorem

Let  $r_0, \dots, r_n, m_0, \dots, m_n$  be such that  
 $0 \leq r_i \leq m_i$  and  $\text{gcd}(m_i, m_j) = 1$ . Then  $\exists r$   $\text{rm}(r, m_i) = r_i \quad \forall i$

### Proof of Lemma 0

Let  $d = (n + r_0 + \dots + r_n + 1)!$

Let  $m_i = d(i+1) + 1$

claim  $\forall i, j \text{ gcd}(m_i, m_j) = 1$  (see notes)

By CRT  $\exists r = c$  so that  $\beta(c, d, i) = \text{rm}(c, m_i) = r_i \quad \forall i \in [n]$

## Proof of Main Lemma (see pp 70-71)

Lemma 0  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that  
$$\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$$

Lemma 1  $R_\beta$  is a  $\Delta_0$  relation

Pf:  $y = \beta(c, d, i) \Leftrightarrow [\exists q \leq c (c = q(d(i+1)+1) + y) \wedge y < d(i+1)+1]$

Lemma 2 If  $R(\bar{x}, y)$  is a  $\exists \Delta_0$  relation,  $R_\beta$  is a  $\exists \Delta_0$  relation  
then  $S(\bar{x}) = \exists y (R_\beta(\bar{x}, y) \wedge R(\bar{x}, y))$  is a  $\exists \Delta_0$  relation

## Proof of Main Lemma (see pp 70-71)

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$  be unary, total computable function, + let  $M_f$  be TM computing  $f$

$R(\vec{x}, y)$  will be a  $\exists \Delta_0$  relation saying:

$\exists m, c, d$  such that

(1)  $c, d$  describe the tableaux given by  $r_1, \dots, r_m, \dots, r_{m^2}$  given by  $\beta$  function

(2)  $r_1 \dots r_m$  encode start config of  $M_f$  on  $x$

(3) Last  $m$  numbers  $r_{(m-1)m} \dots r_{m^2}$  encode last config, containing  $y$  in first cells then  $B$ , and state is  $q_2$

(4) For all other configs, state is not  $q_2$ .

(5) all  $2 \times 3$  local cells are consistent with transition function of  $M_f$



Recap: we wanted to prove

$\exists\Delta_0$  (Exists-Delta) Theorem every r.e.  
relation is represented by a  $\exists\Delta_0$  formula

which followed by Main Lemma:

$f$  total, computable  $\Rightarrow R_f$  is a  $\exists\Delta_0$  relation

# FIRST INCOMPLETENESS THEOREM

We define a predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \bar{\Phi}_0 \text{ that is in TA} \}$$

We will show that  $\text{Truth}$  is not r.e.:

Defn A predicate is arithmetical if it can be represented by a formula over  $\mathcal{L}_A$

- ① Every r.e. predicate/language is arithmetical
- ②  $\text{Truth}$  is not arithmetical

$\therefore \text{Truth}$  is not r.e.

Tarski  
Theorem pp. 73-74

Exists-Delta Theorem  
pp 68-71

DONE!

## Tarski Theorem

Define the predicate  $\text{Truth} \equiv \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \text{TA} \}$$

Then  $\text{Truth}$  is not arithmetical

## Tarski Theorem

Define the predicate  $\text{Truth} \equiv \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \text{TA} \}$$

Then  $\text{Truth}$  is not arithmetical

High Level idea:

Formulate a sentence "I am false"  
which is self-contradictory

## PF of Tarski's thm

Let  $\text{sub}(m, n) = \begin{cases} 0 & \text{if } m \text{ is not a legal encoding of a formula} \\ \text{otherwise say } m \text{ encodes the formula} & \\ & A(x) \text{ with free variable } x. \end{cases}$

Then  $\text{sub}(m, n) = m'$  where  $m'$  encodes  $A(s_n)$

Let  $d(n) = \text{sub}(n, n)$

$\left. \begin{array}{l} d(n) = 0 \text{ if } n \text{ not a legal encoding.} \\ \text{ow say } n \text{ encodes } A(x). \\ \text{then } d(n) = n' \text{ where } n' \text{ encodes } A(s_n) \end{array} \right\}$

clearly  $\text{sub}, d$  are both computable



## Proof of Tarski's Thm

Suppose that Truth is arithmetical.

Then define  $R(x) = \neg \text{Truth}(d(x))$

Since  $d$ , Truth both arithmetical, so is  $R$

Let  $\widetilde{R(x)}$  represent  $R(x)$ , and let  $e$  be the encoding of  $\widetilde{R(x)}$

Let  $d(e) = e'$  so  $e'$  encodes  $\widetilde{R(s_e)}$  encodes "I am false"

Then

$$\widetilde{R(s_e)} \in \text{TA} \iff \neg \text{Truth}(d(e))$$

$$\iff \neg \widetilde{R(s_e)} \in \text{TA}$$

$$\iff \widetilde{R(s_e)} \notin \text{TA}$$

since  $\widetilde{R}$  represents  $R$

by defn of truth

TA contains exactly one of  $A, \neg A$

✗ this is a contradiction.  $\therefore$  Truth is not arithmetical

# FIRST INCOMPLETENESS THEOREM

FINALLY WE HAVE PROVEN:

- ① Every r.e. predicate/language is arithmetical
  - ② Truth is not arithmetical
- $\therefore$  Truth is not r.e.

Exists-Delta Theorem  
pp. 68-71

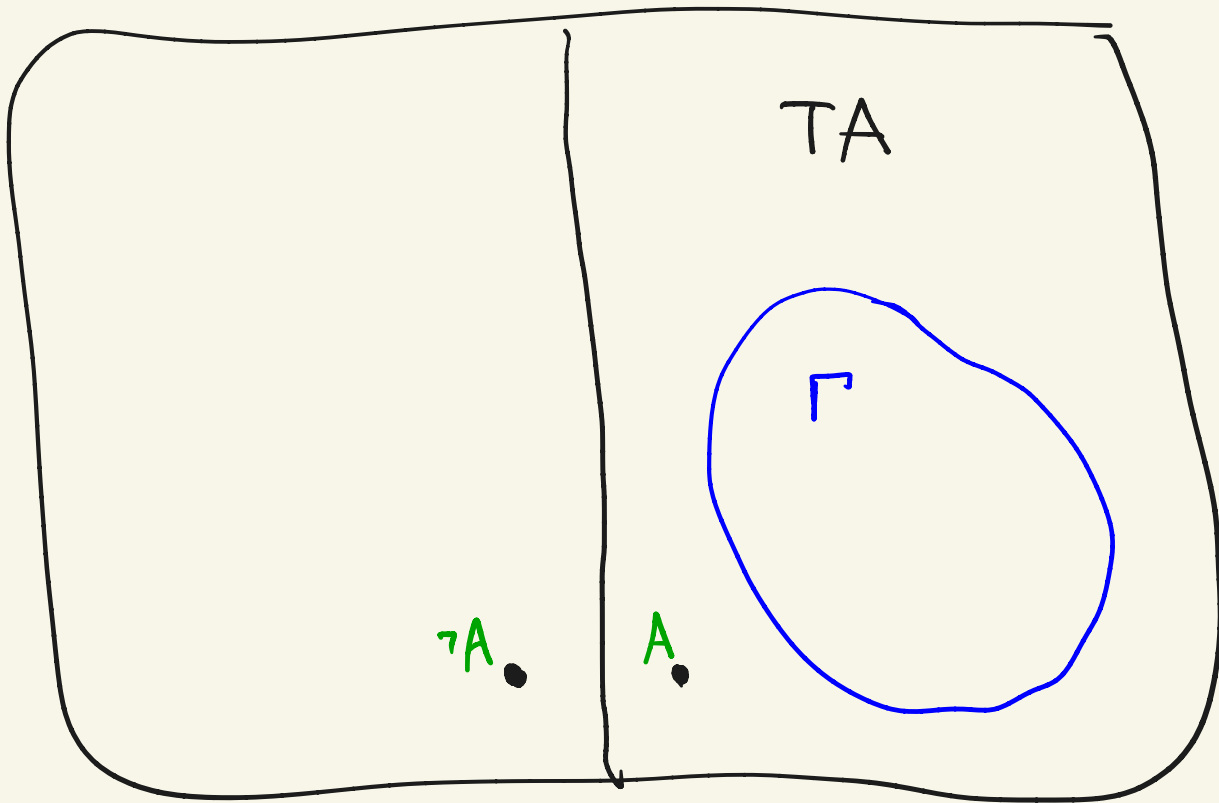
Tarski  
Theorem 13-14

Truth not r.e.  $\Rightarrow$  TA not axiomatizable

$\therefore$  Any SOUND, axiomatizable theory is incomplete

$\Phi_0$ :

all  $L_A$   
sentences



$\Gamma$  sound and axiomatizable  $\Rightarrow \exists A, \neg A \notin \Gamma$

## 2<sup>nd</sup> INCOMPLETENESS THEOREM

- We will define PA (Peano Arithmetic), an axiomatizable sound theory.
- Most of number theory provable in PA
- We will see that PA cannot prove its own consistency (2<sup>nd</sup> Incompleteness Thm)

