# **Gap Hamming Distance**

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# The Gap Hamming Distance Problem

The gap Hamming distance problem is a partial function

$$\mathsf{GHD}_n(x,y) := \begin{cases} -1 & \mathsf{if } \langle x,y \rangle \leq -\sqrt{n}, \\ +1 & \mathsf{if } \langle x,y \rangle \geq +\sqrt{n}. \end{cases}$$

where  $x, y \in \{-1, +1\}^n$ .

#### Linear lower bound

### Theorem 1

$$D^{\mu}(\mathsf{GHD}_n) = \Omega(n).$$

We'll present the proof from

Alexander A. Sherstov. "The Communication Complexity of Gap Hamming Distance". In: *Theory of Computing* 8.8 (2012), pp. 197–208. DOI: 10.4086/toc.2012.v008a008. URL: http://www.theoryofcomputing.org/articles/v008a008

# Corruption bound

Fix  $f: X \times Y \xrightarrow{(partial)} \{-1, +1\}$  and  $\mu$  a distribution on  $X \times Y$ . We say a rectangle  $R \subseteq X \times Y$  is  $\varepsilon$ -corrupt if

$$\mu(R \cap f^{-1}(+1)) > \varepsilon \mu(R \cap f^{-1}(-1)).$$

# Theorem 2 (Corruption Bound)

If every rectangle R with  $\mu(R) > \delta$  is  $\varepsilon$ -corrupt, then

$$2^{D_{\xi}^{\mu}(f)} \geq \frac{1}{\delta} \left( \mu(f^{-1}(-1)) - \frac{\xi}{\varepsilon} \right).$$

#### Plan

We'll use the corruption bound to prove the  $\Omega(n)$  lower bound.

Fix  $\mu$  to be the uniform distribution.

Let  $R = A \times B$  be a rectangle that's <u>not</u>  $\varepsilon$ -corrupt. Then

$$\Pr_{x \in A, y \in B}[f(x, y) = +1] \le \frac{|R \cap f^{-1}(+1)|}{|R \cap f^{-1}(-1)|} < \varepsilon. \tag{1}$$

We'll show (1) implies that R must be small, i.e.

$$\mu(R) = 4^{-n}|A||B| \le \delta = 2^{-\Omega(n)}.$$

Then by the corruption bound, have

$$D_{\xi}^{\mu}(f_n) \geq \Omega(n) \log \left( \mu(f^{-1}(-1)) - \frac{\xi}{\varepsilon} \right).$$

# Gap orthogonality

However, GHD does have a large uncorrupted rectangle.

Instead of working on GHD directly, we'll use a similar function called *gap orthogonality*:

$$f_n(x,y) = \begin{cases} -1 & \text{if } |\langle x,y\rangle| \le \sqrt{n}/8, \\ +1 & \text{if } |\langle x,y\rangle| \ge \sqrt{n}/4. \end{cases}$$

Observe that  $f_n(x, y)$  can be computed using 2 calls to the GHD function, so lower bound f is also a lower bound for GHD.

# Theorem 3

Corruption bound requires proving the following:

#### Theorem 3

Let 
$$R = A \times B$$
 s.t.  $\Pr_{x \in A, y \in B}[|\langle x, y \rangle| \leq \frac{\sqrt{n}}{4}] \geq 1 - \varepsilon$ . Then  $4^{-n}|A||B| \leq \exp(-\Omega(n))$ .

The goal is to show that  $4^{-n}|A||B| \le exp(-\Omega(n))$ .

If |A| is small enoungh by it self, e.g.  $2^{-n}|A| \le 2 \cdot 2^{-\alpha n}$  for some constant  $\alpha$ , then we're done.

Therefore, we'll assume that  $|A| > 2 \cdot 2^{(1-\alpha)n}$ , and show

$$2^{-n}|B| \le e^{-\Omega(n)}.$$

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Recall that we have

$$\Pr_{x \in A, y \in B}[|\langle x, y \rangle| \leq \frac{\sqrt{n}}{4}] \geq 1 - \varepsilon.$$

We may further assume that for every  $x \in A$ ,

$$\Pr_{y \in B}[|\langle x, y \rangle| \le \frac{\sqrt{n}}{4}] \ge 1 - 2\varepsilon \tag{2}$$

by discarding violating elements.

This decreases the size of A by at most half, so now  $|A| > 2^{(1-\alpha)n}$ .

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Next, we'll show that there's some  $x_1, \ldots, x_k \in A$  s.t.

$$\Pr_{y \in \{-1,+1\}^n} \left[ \max_{i \in [k]} |\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4} \right] \le e^{-\Omega(n)}$$

where  $k = \Theta(n)$ .

# Lemma 1

Assume that A is large, then it's always possible to find  $k = \lfloor n/10 \rfloor$  vectors from A that are "almost orthogonal".

#### Lemma 1

Let  $\alpha$  be a sufficiently small constant. Fix  $A \subseteq \{-1,+1\}^n$  with  $|A| > 2^{-\alpha n}$ . Then for  $k = \lfloor n/10 \rfloor$  there exist  $x_1, x_2, \ldots, x_k \in A$  such that for each i,

$$\|\operatorname{proj}_{\operatorname{span}\{x_1, x_2, \dots, x_i\}} x_{i+1}\| \le \frac{\sqrt{n}}{3}.$$
 (3)

# **Talagrand**

Proof of lemma 1 (and lemma 2) relies on the following:

# Fact 1 (Talagrand)

For every linear subspace  $V \subseteq \mathbb{R}^n$  and every t > 0, one has

$$\Pr_{x \in \{-1,+1\}^n} [\|\operatorname{proj}_V x\| - \sqrt{\dim V} > t] \le 4e^{-ct^2},$$

where c > 0 is an absolute constant.

### Proof of lemma 1

The proof is by induction.

Having selected  $x_1, \ldots, x_i \in A$ , pick  $x_{i+1} \in \{-1, +1\}^n$  uniformly random. Then

$$\Pr_{\mathsf{x}_{i+1}}[\mathsf{x}_{i+1}\in A]>2^{-\alpha n}.$$

Fact 1 implies that

$$\Pr_{x_{i+1}} \left[ \| \operatorname{proj}_{\{x_1, \dots, x_i\}} x_{i+1} \| \le \frac{\sqrt{n}}{3} \right] \ge 1 - 2^{-\alpha n}.$$

Hence, there exists  $x_{i+1} \in A$  with  $\|\operatorname{proj}_{\{x_1,\ldots,x_i\}} x_{i+1}\| \leq \frac{\sqrt{n}}{3}$ .

# Lemma 2

Eq. (3) implies that only a small amount of  $y \in \{-1, +1\}^n$  can have small inner product with all  $x_i$ 's. Formally,

#### Lemma 2

Fix vectors  $x_1, x_2, \dots, x_m \in \{-1, +1\}^n$  that obey (3) for all i.

Then

$$\Pr_{y \in \{-1,+1\}^n} \left[ \max_{i \in [m]} |\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4} \right] \le e^{-\beta m} \tag{4}$$

for some absolute constant  $\beta > 0$ .

Let  $x_1, \ldots, x_k \in A$  be the vectors from lemma 1.

Recall that we have for every  $x_i \in A$ ,

$$\Pr_{y \in B}[|\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4}] \ge 1 - 2\varepsilon.$$

By averaging,

$$\Pr_{i \in [k], y \in B} [|\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4}] \ge 1 - 2\varepsilon.$$

Again, we may assume that for every  $y \in B$ ,

$$\Pr_{i \in [k]}[|\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4}] \ge 1 - 3\varepsilon,$$

which decreases the size of B by at most 2/3.

Then,

$$\Pr_{y \in \{-1,+1\}^n} \left[ \Pr_{i \in [k]} [|\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4}] \ge 1 - 3\varepsilon \right]$$

is an upper bound for  $\Pr_y[y \in B] = 2^{-n}|B|$ .

By union bound, this is bounded by

$$\binom{k}{3\varepsilon k} \Pr_{y \in \{-1,+1\}^n} \left[ \max_i |\langle x_i, y \rangle| \le \frac{\sqrt{n}}{4} \right],$$

which, by lemma 2, is bounded by  $\binom{k}{3\varepsilon k}e^{-\Omega(n)}=e^{-\Omega(n)}$ .

### Linear lower bound

By theorem 3 and the corruption bound, we have

$$D_{\xi}^{\mu}(f_n) \geq \Omega(n) \log \left( \mu(f_n^{-1}(-1)) - \frac{\xi}{\varepsilon} \right).$$

Since  $\mu(f_n^{-1}(-1))$  is  $\Theta(1)$ , the above gives a linear lower bound for the gap orthogonality function.

which also implies a linear lower bound for GHD.