

# Direct Sum in Interactive Communication Models Using Information-theoretic Tools

COMS 6998 Communication Complexity Applications

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April 6, 2022

## Review: Information Theory Preliminaries

- Entropy of a random variable  $X$

$$H(X) = \sum_x p(x) \cdot \log \frac{1}{p(x)} = \mathbb{E}_{p(x)} \left[ \log \frac{1}{p(x)} \right]$$

- Conditional Entropy

$$H(Y|X) = \mathbb{E}_{p(xy)} \left[ \log \frac{1}{p(y|x)} \right] = \mathbb{E}_{p(x)} [H(Y|X = x)]$$

- Chain Rule of Entropy

$$H(XY) = H(X) + H(Y|X)$$

## Review: Information Theory Preliminaries

- Mutual Information

$$I(A; B) = H(A) - H(A|B)$$

- Conditional Mutual Information

$$I(A; B|C) = H(A|C) - H(A|BC)$$

- Chain Rule of Mutual Information

$$I(AB; C) = I(A; C) + I(B; C|A)$$

- Chain Rule of Conditional Mutual Information

$$I(AB; C|D) = I(A; C|D) + I(B; C|AD)$$

## Relating to Communication: Information Complexity

Analogous to Communication Cost and Communication Complexity:

**Information Cost** is related to the amount of information gained through the execution of a communication protocol  $\pi$

**Information Complexity** is related to a function  $f$  (a problem) over all protocols that computes it.

## Relating to Communication: Information Complexity

- **Transcript** of a protocol

Given a protocol  $\pi$ , the **transcript**  $\pi(\mathbf{X}, \mathbf{Y})$  is the concatenation of the public randomness with all the messages that are sent during the execution of  $\pi$  on input  $X, Y$

- **Internal Information Cost**

(Distributional) Internal information cost  $IC_{\mu}^i(\pi)$  is how much each party learns about the other party's input during the execution of  $\pi$

$$IC_{\mu}^i(\pi) = I(X; \pi(X, Y) | Y) + I(Y; \pi(X, Y) | X)$$

## Relating to Communication: Information Complexity

- **Internal Information Cost**

(Distributional) Internal information cost  $IC_{\mu}^i(\pi)$  is how much each party learns about the other party's input during the execution of  $\pi$

$$IC_{\mu}^i(\pi) = I(X; \pi(X, Y)|Y) + I(Y; \pi(X, Y)|X)$$

- **External Information Cost**

(Distributional) External information cost  $IC_{\mu}^{ext}(\pi)$  is how much information an outside observer learns about both parties' input just by looking at Alice and Bob chat

$$IC_{\mu}^{ext}(\pi) = I(XY; \pi(X, Y))$$

## Internal IC $\leq$ External IC

For protocol  $\pi$  and distribution  $\mu$ , we have

$$\text{IC}_{\mu}^i(\pi) \leq \text{IC}_{\mu}^{\text{ext}}(\pi)$$

[Intuition] at each round, an independent observer is always going to learn more *new* info about  $XY$  than  $X$  and  $Y$  about each other, or more formally:

## Internal IC $\leq$ External IC

For protocol  $\pi$  and distribution  $\mu$ , we have

$$\text{IC}_{\mu}^i(\pi) \leq \text{IC}_{\mu}^{\text{ext}}(\pi)$$

### **Proof.**

Let  $\omega$  be any fixed prefix of the transcript of length  $i - 1$ .

If it is the  $X$  player's turn to speak, the amount of info she learns about  $Y$  is zero

$$I(Y; \pi(X, Y)_i | X, \pi(X, Y)_{\leq i-1} = \omega) = 0$$

Similarly, if it is the  $Y$  player's turn to speak, the amount of info he learns about  $X$  is zero. So at each round, there has to be one player who learns nothing new.



## Internal IC $\leq$ External IC

For protocol  $\pi$  and distribution  $\mu$ , we have

$$\text{IC}_{\mu}^i(\pi) \leq \text{IC}_{\mu}^{\text{ext}}(\pi)$$

### Proof.

On the other hand, an observer always learns something new at each round, and that amount is

$$\begin{aligned} & I(XY; \pi(X, Y)_i | \pi(X, Y)_{\leq i-1} = \omega) \\ &= I(X; \pi(X, Y)_i | \pi(X, Y)_{\leq i-1} = \omega) + I(Y; \pi(X, Y)_i | X \pi(X, Y)_{\leq i-1} = \omega) \\ &\geq I(X; \pi(X, Y)_i | Y \pi(X, Y)_{\leq i-1} = \omega) + I(Y; \pi(X, Y)_i | X \pi(X, Y)_{\leq i-1} = \omega) \end{aligned}$$

NOTE: if  $\mu$  is a product distribution,  $\text{IC}_{\mu}^i(\pi) = \text{IC}_{\mu}^{\text{ext}}(\pi)$  □

## Motivation: Direct Sum

The **direct sum question** is about the complexity of solving *several* copies of a given problem. In communication complexity, it can be phrased as follows:

given function

$$f : \{0, 1\}^m \times \{0, 1\}^m \longrightarrow \{0, 1\}$$

define

$$f^n : (\{0, 1\}^m)^n \times (\{0, 1\}^m)^n \longrightarrow \{0, 1\}^n$$

to be

$$f^n((x_1, \dots, x_n), (y_1, \dots, y_n)) = (f(x_1, y_1), \dots, f(x_n, y_n))$$

What is the relationship between the communication costs of  $f$  and  $f^n$ ?

# Motivation: Direct Sum

Why direct sum?

## Hardness Amplification

direct sum + lower bound on “primitive” problem = lower bound on “composite” problem

- Ex. Karchmer-Raz-Wigderson:  $P \neq NC^1$  if circuit depth has strong direct sum (there are inherently sequential problems)

**Very sensitive to models**

## Motivation: Direct Sum

The communication complexity for  $f^n$  is most  $n$  times the communication complexity of  $f$ .

$$D(f^n) \leq n \cdot D(f)$$

Is this the best we could do?

We don't know...

## Motivation: Direct Sum

- **Strong Direct Sum Conjecture** “the naive is the optimal”

$$D_{\rho}^{\mu^n}(f^n) \stackrel{?}{=} \Omega(n) \cdot D_{\rho}^{\mu}(f)$$

One direction is trivial, need to prove the other direction

- Direct Sum Theorem for Simultaneous Communication (the equality function)[CSWY01]

$$C(\text{EQ}_n^m) = \Omega(m\sqrt{n})$$

## Why Information Complexity - Information Theoretical tools

- CSWY01 used information theoretic tools to arrive at direct sum.
- Information Complexity has a nice direct sum property

$$IC^n(f) \geq n \cdot IC(f)$$

- The above property bridges together direct sum of communication:

$$D^n(f) \geq IC^n(f) \geq n \cdot IC(f) \quad ??? \quad n \cdot D(f)$$

# Notations

Given a function  $f(x, y)$  and a distribution  $\mu$  on inputs to  $f$

- The communication complexity  $D_\rho^\mu(f)$ , maximum number of bits communicated by a protocol that computes  $f$  with error  $\rho$
- $D_\rho^{\mu, n}(f)$ , the communication involved in the best protocol that computes  $f$  on  $n$  **independent** pairs of input  $(x, y)$  drawn from  $\mu$ , and getting the answer correct except an error  $\rho$  **on each coordinate**.
- Note that the above is different from  $D_\rho^{\mu^n}(f^n)$ , and

$$D_\rho^{\mu, n}(f) \leq D_\rho^{\mu^n}(f^n)$$

## (Not direct sum but,) Information Equals Amortized Communication

- The **amortized communication complexity**

$$\lim_{n \rightarrow \infty} \frac{D_{\rho}^{\mu, n}(f)}{n}$$

- Information equals amortized communication complexity:

$$\lim_{n \rightarrow \infty} \frac{D_{\rho}^{\mu, n}(f)}{n} = IC_{\mu}^i(f)$$



## Direct Sum Theorems

**(Information Complexity Direct Sum)** For every boolean function  $f$ , distribution  $\mu$ ,

$$IC_{\mu}^n(f) \geq n \cdot IC_{\mu}(f)$$

**(Weak Direct Sum [BBCR10])** For every boolean function  $f$ , distribution  $\mu$ , and any positive constant  $\delta > 0$ ,

$$D_{\mu^n}(f^n, \epsilon) \geq \tilde{\Omega}(\sqrt{n} \cdot D_{\mu}(f, \epsilon + \delta))$$

(Information Complexity Direct Sum) For every boolean function  $f$ , distribution  $\mu$ ,

$$IC_{\mu}^n(f) \geq n \cdot IC_{\mu}(f)$$

**(Theorem 3.17 in [BR11])** For every  $\mu$ ,  $f$ ,  $n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu,n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu,n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu,n}(f^n)}{n} \right)$$

**(Weak Direct Sum [BBCR10])** For every boolean function  $f$ , distribution  $\mu$ , and any positive constant  $\delta > 0$ ,

$$D_{\mu^n}(f^n, \epsilon) \geq \tilde{\Omega}(\sqrt{n} \cdot D_{\mu}(f, \epsilon + \delta))$$

**(Interactive compression according to internal IC [BBCR10])**

over any distribution  $\mu$  on  $X \times Y$ , for every  $\epsilon > 0$ ,  $\pi$  can be simulated with a protocol  $\tau$  of length

$$O\left(\sqrt{IC_{\mu}^i(\pi) \cdot CC(\pi)} \frac{\log(CC(\pi)/\epsilon)}{\epsilon}\right),$$

and  $\tau(X, Y) = \pi(X, Y)$  w.h.p.

## Proving Information Complexity Direct Sum: Notations

Given a function  $f(x, y)$  and a distribution  $\mu$  on inputs to  $f$

- The communication complexity  $D_\rho^\mu(f)$ , maximum number of bits communicated by a protocol that computes  $f$  with error  $\rho$
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- Note that the above is different from  $D_\rho^{\mu^n}(f^n)$ , and

$$D_\rho^{\mu, n}(f) \leq D_\rho^{\mu^n}(f^n)$$

## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu, n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu^n}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu, n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu^n}(f^n)}{n} \right)$$

[Intuition] given a “more powerful” protocol, construct a new protocol that preserves the CC but saves IC by a factor of  $n$ .

## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu,n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu^n}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu,n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu^n}(f^n)}{n} \right)$$

### **Proof.**

First let us assume that  $\pi$  only uses private randomness (can easily extend to cover public randomness case). The new protocol  $\tau(x, y)$  is defined as follows:

## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu,n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu,n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu,n}(f^n)}{n} \right)$$

### Proof.

- the parties publicly sample  $J$  uniformly at random from  $[n]$ .  
 $J$  is understood as an index.
- The parties publicly sample  $X_1, \dots, X_{J-1}$  and  $Y_{J+1}, \dots, Y_n$ .
- The first party privately samples  $X_{J+1}, \dots, X_n$  conditioned on the corresponding  $Y$ 's; The second party does similar.
- The parties run the old protocol  $\pi$  on  $X_1, \dots, X_n, Y_1, \dots, Y_n$  and output the result computed for the  $J$ 'th coordinate. (i.e. viewing  $X_J = x, Y_J = y$ )

## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu,n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu^n}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu,n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu^n}(f^n)}{n} \right)$$

### **Proof.**

Analyze the protocol: observe CC and bounded error:  $CC(\pi) = CC(\tau)$ , and error is bounded by  $\rho$ .



## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu, n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu^n}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu, n}(f)}{n} (\leq \frac{D_{\rho}^{\mu^n}(f^n)}{n})$$

### Proof.

Analyze the protocol: bound  $IC_{\mu}^i(\tau) = I(X; \tau|Y) + I(Y; \tau|X)$ .

NOTE:  $X, Y$  are r.v. for  $\tau$ 's inputs (sampled according to  $\mu$ ).

Let's bound the first term:

$$\begin{aligned} I(X : \tau|Y) &\leq I(X : \tau Y_1 \cdots Y_n|Y) \\ &= I(X; JX_1 \cdots X_{J-1} Y_1 \cdots Y_n \pi|Y) \\ &= I(X; JX_1 \cdots X_{J-1} Y_1 \cdots Y_n|Y) + I(X_J; \pi|JX_1 \cdots X_{J-1} Y_1 \cdots Y_n) \\ &= I(X_J; \pi|JX_1 \cdots X_{J-1} Y_1 \cdots Y_n) \end{aligned}$$

## Proving Information Complexity Direct Sum

(Theorem 3.17 in [BR11]) For every  $\mu, f, n$ , let  $\pi$  be a protocol realizing  $D_{\rho}^{\mu, n}(f)$ . Then there exists a protocol  $\tau$  computing  $f$  with error  $\rho$  on inputs drawn from  $\mu$  such that  $CC(\tau) = CC(\pi)$ , and

$$IC_{\mu}^i(\tau) \leq \frac{IC_{\mu^n}^i(\pi)}{n} \leq \frac{D_{\rho}^{\mu, n}(f)}{n} \left( \leq \frac{D_{\rho}^{\mu^n}(f^n)}{n} \right)$$

### Proof.

Expanding the expectation according to  $J$ , apply Chain Rule:

$$\begin{aligned} I(X; \tau | Y) &\leq (1/n) \sum_{j=1}^n I(X_j; \pi | X_1 \cdots X_{j-1} Y_1 \cdots Y_n) \\ &= I(X_1 \cdots X_n; \pi | Y_1 \cdots Y_n) / n \end{aligned}$$

Similarly we can bound  $I(Y; \tau | X) \leq I(Y_1 \cdots Y_n; \pi | X_1 \cdots X_n) / n$ , and thus

$$IC_{\mu}^i(\tau) \leq IC_{\mu^n}^i(\pi) / n \leq CC(\pi) / n \quad \square$$

# Interactive Compression

- Given a protocol  $\pi$  with low *information complexity*, can we get another protocol with lower *communication* and slightly more error?
- Yes, by simulating  $\pi$  while sending less bits. The cost is a small chance of error.

[BBCR10]: over any distribution  $\mu$  on  $X \times Y$ , for every  $\epsilon > 0$ ,  $\pi$  can be simulated with a protocol  $\tau$  of length

$$O\left(\sqrt{IC_{\mu}^i(\pi) \cdot CC(\pi)} \frac{\log(CC(\pi)/\epsilon)}{\epsilon}\right),$$

and  $\tau(X, Y) = \pi(X, Y)$  w.h.p.

- Sufficient to prove direct sum result, but stronger result exists (external IC).

## Compression Proof Idea

- In  $\tau$ , Alice and Bob privately guess  $\pi$ 's transcript  $M = m_1 m_2 \cdots m_C$  without communicating. Then communicate with few bits to correct their guesses.
- Alice will come up with  $m_1^A, m_2^A, \dots, m_C^A$ ,  
Bob will come up with  $m_1^B, m_2^B, \dots, m_C^B$ .
- Once  $m_i^A = m_i^B = m_i$  they can output  $\pi(X, Y)$ . How do Alice and Bob guess  $M$ ?

- For each prefix  $m_{<i}$  of bits sent in  $\pi$ , let

$$\gamma(m_{<i}) = p(M_i = 1 | xym_{<i}).$$

These numbers are how the messages in are distributed in  $\pi(X, Y)$ .

- How to sample from this distribution:
  - Use (public) randomness to get  $\rho_1, \dots, \rho_C \sim \text{Unif}([0, 1])$ .
  - set  $m_1 = 1$  iff  $\rho_1 < \gamma(m_{<1}) = p(M_1 = 1 | xy)$ ,
  - set  $m_2 = 1$  iff  $\rho_2 < \gamma(m_{<2}) = p(M_2 = 1 | xym_1)$ ,
  - $\vdots$
  - set  $m_C = 1$  iff  $\rho_C < \gamma(m_{<C}) = p(M_C = 1 | xym_{<C})$ .
- If Alice, Bob sampled this way, they would have successfully simulated  $\pi$ .

- The problem: Alice does not have  $y$ , so does not know the value of  $\gamma(m_{<i})$ . Similarly, Bob is missing  $x$ ...

- Key insight: if Alice communicates first in  $\pi$ , she knows  $\gamma(m_{<1})$

$$\gamma(m_{<1}) = p(M_1 = 1|xy) = p(M_1 = 1|x)$$

since the first bit sent has no dependence on Bob's secret  $y$ .

- In general, if Alice speaks next in  $\pi$  and she knows  $m_{<i}$ , then she knows the value of

$$p(M_i = 1|xym_{<i}) = p(M_i = 1|xm_{<i})$$

Likewise, if Bob speaks next and knows  $m_{<i}$ , then he knows the value of

$$p(M_i = 1|xym_{<i}) = p(M_i = 1|ym_{<i})$$

and can sample correctly.

- Let

$$\gamma^A(m_{<i}) = p(M_i = 1 | x m_{<i})$$

$$\gamma^B(m_{<i}) = p(M_i = 1 | y m_{<i})$$

- In  $\tau$ : Alice computes

$$m_1^A = 1 \iff \rho_1 < \gamma^A(m_{<1}),$$

$$m_2^A = 1 \iff \rho_2 < \gamma^A(m_{<2}),$$

⋮

$$m_C^A = 1 \iff \rho_C < \gamma^A(m_{<C})$$

Bob computes

$$m_1^B = 1 \iff \rho_1 < \gamma^B(m_{<1}),$$

⋮

$$m_C^B = 1 \iff \rho_C < \gamma^B(m_{<C})$$



- Alice will sample  $M$  correctly, up until the first time  $\gamma^A(m_{<i}) \neq \gamma(m_{<i})$  (when Bob speaks for the first time).
- Bob will sample  $M$  correctly, up until the first time  $\gamma^B(m_{<i}) \neq \gamma(m_{<i})$  (when Alice speaks for the first time).
- Alice and Bob communicate to find the first  $i$  where  $m_i^A \neq m_i^B$ .  
Who is right?
  - If the  $i$ th bit is sent by Alice,  $m_i^A$  is sampled correctly.
  - If  $i$ th bit sent by Bob,  $m_i^B$  is sampled correctly.
- Whoever is wrong: correct their  $i$ th bit and recompute their guess.  
Repeat until  $m^A = m^B$ .

- How many bits must Alice and Bob communicate to find first  $i$  where  $m^A, m^B$  disagree?
- $O(\log C/\delta)$  bits using binary search + hashing, if probability of error is  $\delta > 0$ .
- By union bound, total error is at most  $C\delta = \epsilon/2$ .  $O(\log(C/\epsilon))$  bits sent for each mistake  $i$ .

- Remains to bound the number of corrections Alice, Bob will have to make.

- Will see that  $\mathbb{E}[\# \text{ mistakes made}] \leq \sqrt{I \cdot C}$

$$\implies \mathbb{E}[\text{length of } \tau] \leq O(\sqrt{IC} \cdot \log(C/\epsilon)).$$

- By Markov's inequality,

$$\Pr\left(|\tau| > \frac{2}{\epsilon} \cdot O(\sqrt{IC} \cdot \log(C/\epsilon))\right) \leq \epsilon/2.$$

With prob.  $\geq 1 - \epsilon$ ,  $\tau$  will simulate  $\pi$  correctly and have desired communication.

- What is the probability that Alice, Bob made the first mistake at  $i$ ?
- Both have  $m_{<i}$  sampled correctly, and  $\rho_i$  falls between  $\gamma^A(m_{<i})$  and  $\gamma^B(m_{<i})$ .
- So probability of mistake at  $i$  is at most

$$\begin{aligned} & \mathbb{E}_{xym}[|\gamma^A(m_{<i}) - \gamma^B(m_{<i})|] \\ & \leq \mathbb{E}_{xym}[|\rho(m_i = 1|x m_{<i}) - \rho(m_i = 1|y m_{<i})|]. \end{aligned}$$

- Useful fact relating mutual information and independence: if  $A, B$  are random variables, then

$$\mathbb{E}_{b \sim B}[|p(a|b) - p(a)|] \leq \sqrt{I(A : B)}.$$

- If  $I(A : B) = I(B : A)$  is small, then  $p(a|b) \approx p(a)$  on average.

- Say Alice sends the  $i$ th bit in  $\pi$ . Fixing over  $m_{<i}$ ,

$$\begin{aligned} & \mathbb{E}_{xym_{<i}} [|\rho(m_i = 1|x m_{<i}) - \rho(m_i = 1|y m_{<i})|] \\ &= \mathbb{E}_{xym_{<i}} [|\rho(m_i = 1|xym_{<i}) - \rho(m_i = 1|ym_{<i})|] \\ &\leq \sqrt{I(M_i : X | Y m_{<i})} \\ &= \sqrt{I(X : M_i | Y m_{<i})}. \end{aligned}$$

If Bob sends the  $i$ th bit, we get  $\leq \sqrt{I(Y : M_i | X m_{<i})}$

- An upper bound the expected number of corrections made:

$$\sum_{i=1}^C \sqrt{I(X : M_i | Y M_{<i}) + I(Y : M_i | X M_{<i})}$$

$$\begin{aligned} & \sum_{i=1}^C \sqrt{I(X : M_i | Y M_{<i}) + I(Y : M_i | X M_{<i})} \\ & \leq \sqrt{C} \cdot \sqrt{\sum_{i=1}^C I(X : M_i | Y M_{<i}) + I(Y : M_i | X M_{<i})} \end{aligned}$$

by Cauchy-Schwarz;

$$= \sqrt{C} \cdot \sqrt{I(X : M | Y) + I(Y : M | X)} = \sqrt{IC}.$$

□

# Intuition for Compression

- If  $IC_{\mu}^i(\pi)$  is small, then Alice doesn't need to know Bob's  $y$  to get a good idea for what  $M$  is. Same for Bob.
- Small  $IC_{\mu}^i(\pi)$  means  $m^A \approx m$  and  $m \approx m^B$ , as seen in proof.
- NOT guaranteed to give us lower communication. In fact, this is weak.
- Also in [BBCR10] can simulate  $\pi$  such that

$$CC(\tau) \leq O\left(IC_{\mu}^o(\pi) \frac{\log(CC(\pi)/\epsilon)}{\epsilon^2}\right).$$

Almost  $CC(\tau) \leq O(IC(\pi))!$



## Using Compression to Prove Direct Sum Lower Bound

- Let's show that

$$CC(T^n) = \tilde{\Omega}(\sqrt{n} \cdot CC(T)).$$

- Specifically, [BBCR10] for every  $\epsilon > 0$ ,

$$R_\rho(f^n) \cdot \log(R_\rho(f^n)/\epsilon) \geq \Omega(R_{\rho+\epsilon}(f)\epsilon\sqrt{n}).$$

Then apply min-max principle:  $R_\rho(f) = \max_\mu D_\rho^\mu(f)$ .

- Let  $\pi$  be any protocol for  $f^n$  on inputs drawn from  $\mu^n$  with error prob.  $\leq \rho$ .
- Recall protocol for single copy  $f$  using randomness  $R = (J, X_{<J}, Y_{>J})$ , with

$$CC(\tau) \leq CC(\pi)$$

$$IC_{\mu}^i(\tau) \leq 2CC(\pi)/n.$$

- Compress  $\tau$  with error  $\epsilon$  to get a protocol for  $f$  with error  $\rho + \epsilon$ , communication

$$CC(\tau') \leq O\left(\frac{CC(\pi) \log(CC(\pi)/\epsilon)}{\epsilon\sqrt{n}}\right).$$

- $\tau'$  computes  $f$ :  $CC(\tau') \geq R_{\rho+\epsilon}(f)$
- So for all  $\pi$  for  $f^n$ ,

$$CC(\pi) \log(CC(\pi)/\epsilon) \geq \Omega(R_{\rho+\epsilon}(f)\epsilon\sqrt{n}).$$

□

## Closing the Direct Sum Bound

- Is it possible to show that  $CC(f^n) = \Theta(n \cdot CC(f))$ ?
- $CC(f^n) = O(n \cdot CC(f))$  is trivial.
- Lower bound:  $CC(f^n) = \tilde{\Omega}(\sqrt{n} \cdot CC(f))$  (proved this).

## Separation in IC and CC

- Answer: no. [GKR15] showed that there is a family of functions with information  $k$  and communication  $2^{\Omega(k)}$ .
- Amortized communication:

$$IC^i(T) = \lim_{n \rightarrow \infty} \frac{CC(T^n)}{n}$$

$$CC(T) \geq 2^{\Omega(k)} \text{ but } CC(T^n) \approx nk.$$

- Their  $T$  is played on a tree with  $k \cdot 2^{100 \cdot 4^k}$  layers, goal is to output a path from root to leaf satisfying Alice and Bob's inputs.

## Rao and Sinha Easier Separation

- In [RS18], they show an exponential separation for the *k*-ary pointer jumping function:
  - Alice gets  $X : [k]^{<n} \rightarrow [k]$  and  $F : [k]^n \rightarrow [k]$ .
  - Bob gets  $Y : [k]^{<n} \rightarrow [k]$  and  $G : [k]^n \rightarrow [k]$ .
  - They have to find the unique  $z \in [k]^n$  where for all  $1 \leq i < n$

$$X(z_{\leq i}) + Y(z_{\leq i}) = z_{r+1} \pmod k,$$

and output  $F(z) + G(z) \pmod 2$ .